

Unsupervised estimation of the age at sea departure of Atlantic salmon using magnesium, manganese, and zinc otolith chemistry

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| 1 | UNSUPERVISED ESTIMATION OF THE AGE AT SEA DEPARTURE OF |
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| 2 | ATLANTIC SALMON USING MAGNESIUM, MANGANESE, AND ZINC |
| 3 | OTOLITH CHEMISTRY |
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| 12 | |
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| | |

ABSTRACT

19 Investigating the age at sea departure of returning Atlantic salmon is critical to better understand 20 the role of emigration timing in survival. Among the methods available, the use of otolith 21 chemistry can be challenging in anadromous fish, as the elements frequently used (*i.e.* 22 magnesium, manganese, and zinc) can jointly reflect individual metabolism and environmental 23 conditions. Here, we present a new unsupervised and robust method for successfully estimating 24 the outmigration age of Atlantic salmon in the Bay of Biscay, based on otolith zinc 25 concentrations.

26

27 Keywords: Salmo salar, Migration, Functional clustering, Freshwater

28 Age determination from scale and otolith annuli observations has been applied for more than 29 120 years in fisheries science, as it is crucial information to manage stock structure and 30 understand population dynamics (Campana and Thorrold, 2001). In recent years, researchers 31 have tried to combine chemical profiles from otolith analyses with traditional visual reading to estimate fish age, especially for taxa that do not allow for clear determination of annuli 32 (Heimbrand et al., 2020) or with long-lived species. As some elements such as magnesium 33 (Mg), manganese (Mn), phosphorus (P) or zinc (Zn) are essential for fish and may vary with 34 individual metabolism (Hüssy et al., 2021b), their otolith concentrations are likely to be related 35 36 with physiological mechanisms such as ontogeny, growth, reproduction, metamorphosis or even 37 diet (Sturrock et al., 2012), thus reflecting seasonal patterns that match with annual opaque and 38 translucent zones in otoliths (Hüssy et al., 2016; Heimbrand et al., 2020).

39 To date, contrasted results have been pointed out in the literature concerning the 40 cause(s) of Mg, Mn, and Zn variations in otolith (Hüssy et al., 2021b). First applied to track 41 pollution exposure, the use of Zn otolith concentration has more recently emerged as a potential 42 tool to estimate fish age with marine (Sturrock et al., 2015a; Heimbrand et al., 2020) and 43 migratory species (Brûlé, 2022; Mainguy et al., 2023), as its accumulation would mainly come 44 from diet. Scientific literature exploring the uptake mechanisms of Mg and Mn in otolith is also 45 very limited. Magnesium incorporation is reported to be mostly related to individual growth rate 46 and, therefore possibly associated with foraging strategies and metabolic activity (Hüssy et al., 47 2021b). Hypotheses about the main sources of Mn in otolith are even more inconsistent since 48 some studies have demonstrated a positive effect of growth (Martin and Thorrold, 2005) while 49 others did not report any effect (Sturrock et al., 2015a). Nevertheless, for both Mn and Mg, a 50 significant effect of diet does not appear to be a valid assumption, in contrast to Zn sources (Hüssy et al., 2021b). Finally, a decrease in elemental concentrations over time seems to apply 51 52 commonly for Mg, Mn, and Zn in otoliths according to ontogenic changes in somatic growth 53 patterns. From the literature, reported as "chemical age-tracers", Mg, Mn, and Zn otolith 54 concentrations are expected to vary seasonally, with peaks occasionally observed for all these 55 elements in the spring-summer period (*i.e.* otolith opaque zone) reflecting high metabolic activity 56 and minima in the winter period (*i.e.* otolith translucent zone), when foraging and growth are 57 very low (Martin and Thorrold, 2005; Hüssy et al., 2016; Mainguy et al., 2023).

58 To the best of the author's knowledge, every recent study that explored Mg, Mn, and Zn as tracers to estimate fish age has combined their chemical results with optical aging to 59 corroborate the occurrence of elemental variation with otolith zones (opaque and translucent). 60 61 However, this work is time-consuming and requires expertise to adequately prepare and read 62 the otoliths (Hüssy et al., 2016). In this study, we used a functional clustering method to test the 63 usefulness of Mg, Mn, and Zn variation to assess in an unsupervised manner the age at sea-64 departure (most likely hypothesized to be 1+ or 2+ in our study sites, based on previous studies 65 and reports from regional management associations) for mature returning Atlantic salmon (Salmo salar). Combined with life history reconstruction, also inferred from otolith chemistry, 66 joint acquisition of age can greatly improve our knowledge about time-dependent migratory 67 patterns, yearly river-spawning contribution, and stocking-programs efficiency for salmon 68 populations (Martin et al., 2013; Sturrock et al., 2015b). 69

70 Study area and sample collection

As the incorporation of Mg, Mn, and Zn in the otolith matrix seems to be simultaneously influenced by intrinsic and extrinsic factors (Hüssy et al., 2021b), their chemical profiles should theoretically vary between distinct populations that experience contrasted habitat (*e.g.* river length, temperature and discharge, food availability, density-dependence). To account for this natural variability, we selected otoliths from adult Atlantic salmon from three French localities (Adour, Gironde, and Bretagne), previously aged by traditional scale-reading method (doubleblind, unpublished data). In these regions, smolts commonly migrate to marine waters either after one year (defined as "1+" hereafter) or two successive years (expressed as "2+" hereafter) in freshwater, although the proportion of 1+ and 2+ is also expected to vary spatially and temporally between locations. In total, 377 returning adults collected in year 2015 in Bretagne (1+ n=11, 2+ n=12) and from years 2010 to 2016 in the Gironde (1+ n=62, 2+ n=16) and Adour (1+ n=236, 2+ n=40) were used in this paper (Table S1).

83 Ethical statement

All the otoliths analyzed in this study were sampled from monitoring and carcass surveys or from amateur fishermen. Each individual was collected according to animal welfare laws, guidelines and policies as approved by sampling permits or the number of individual tag.

87 Otolith preparation and chemical analyses

Detailed otolith preparation and parameters of chemical analyses can be found in Martin et al., 88 89 2013. Briefly, sagittal otoliths were extracted from salmon heads, gently cleaned, and 90 embedded in epoxy resin (Araldite 2020, Escil) before being ground with distilled water and 91 sandpaper (Martin et al., 2013). Prepared otoliths in resin block were then analyzed for ²⁴Mg, ⁵⁵Mn and ⁶⁵Zn concentrations concurrently with strontium (⁸⁶Sr) and barium (¹³⁸Ba) using a 92 93 femtosecond-laser ablation system (fs-LA, 1030nm, Alfamet-Novalase, France) coupled to an 94 Inductively Coupled Plasma Mass Spectrometer (Agilent 8900 triple Quadrupole ICP-MS, USA). Calcium (⁴³Ca) was used as an internal standard and concentrations of measured elements 95 96 were determined using three-point calibration curved based on certified glass standards NIST 97 610, 612, and 614 (NIST, USA). Accuracy was checked using otolith reference materials FEBS 1 (NRCC, Canada) and NIES 22 (NIES, Japan). Ultimately, Mg, Mn, and Zn concentrations are 98 99 expressed as a ratio of Ca concentration (Mg/Ca, Mn/Ca, and Zn/Ca respectively, in µg·g⁻¹), 100 based on the concentration of Ca in otolith (0.383g of Ca per g of otolith).

101 Modelling

102 Profiles of elemental ratios were individually investigated to identify the part of the transect that 103 corresponds to the freshwater residence, from fish birth (i.e. primordia, visible with a 104 manganese peak (Ruttenberg et al., 2005) and systematically located 200µm after the ablation 105 starting point) to the departure at sea (*i.e.* outmigration, based on the significant increase in 106 Sr/Ca and concurrent decrease in Ba/Ca ratios along the otolith transect). To compare all 107 individuals, we standardized the distance obtained from each of them between the nucleus and 108 the outmigration by calibrating every profile between 0 and 1. As our method requires an 109 identical number of values per individual along transects, we used a generalized additive model 110 (GAM) to resample 150 equally spaced values per profile (*i.e.* the mean average number of data 111 points in our transects). The GAM was performed using the mgcv package (version 1.8-40, in R 112 version 4.2.1) with an equal number of splines per individual (k=10) and we used the GCV 113 criterion as the method for estimating the smoothing parameters. We then used an univariate 114 functional clustering approach based on an Expectation-Maximization (EM) algorithm following 115 Bouveyron and Jacques, 2011 (Table 1). Multivariate approaches were also tested but did not 116 outperform the results obtained via univariate analyses (Table 1). The method is an adaptation 117 from the traditional high dimensional data clustering (HDDC) method to functional data that was 118 achieved using the *funHDDC* package (version 2.3.1). We used this unsupervised (naïve) 119 approach to cluster each profile within two groups (most likely hypothesized to be 1+ or 2+ in 120 our study sites, based on the data from the Centre national d'interprétation des captures de 121 salmonidés migrateurs (CNICS), https://professionnels.ofb.fr/). We arbitrarily fixed the number 122 of groups equal to two since long-term surveys of salmon in our localities have revealed that a 123 hegemonic proportion of individuals remain either one or two years in freshwater before 124 migrating. For robustness purpose we also tested for three groups but the likelihood did not vary 125 between the two and three-cluster approaches (Table S2). The posterior probability for each profile allowed classifying them into two groups (assumed to be either 1+ or 2+), with the maximum probability of attribution always higher than 0.9, and we used the percentage of correctly classified individuals as a performance index. Finally, we performed a cross-validation approach using Out-of-Bag (OOB) sampling (training set: 75%, test set: 25%, number of iterations: 1000) to evaluate the performance of the naïve approach for each metal.

131 Although Mg and Mn have been successfully used in a few studies to reconstruct the 132 "metabolically active" (Limburg et al., 2018; Hüssy et al., 2021a) period of fish, our results do not 133 indicate similar trends (Error! Reference source not found.). Considering the wide range of 134 observed raw values, which can be 100 to 500 times higher between salmons, especially for 135 Mn, the biggest challenge is to depict the expected patterns (1+ and 2+) of Mg and Mn profiles 136 from the very massive inter-individual variability. Clearly, in our study, the model does not 137 distinguish between 1+ and 2+ fish as almost all individuals are clustered in the 1+ group (Table 138 1). In both Mg and Mn profiles, elevated concentrations (Figure 1) are clearly identified in the 139 core region, which is consistent with previous studies (Ruttenberg et al., 2005). Yet, combined 140 with a steady decrease of Mg and Mn otolith concentration with fish growth (Hüssy et al., 141 2021b), the annual fluctuation of both elements is probably masked in the broad spectrum of 142 inter-individual variability. Additionally, as Mg otolith concentration significantly differed between 143 high and low body conditions in cods (Limburg and Casini, 2018), it may reinforce the observed 144 variability within our sampling if such diversity is also occurring in Atlantic salmon. Our results 145 diverge from previous studies on marine (Limburg et al., 2018) fish species for both metals, but 146 also with northern Canadian populations of Atlantic salmon for Mg (Brûlé, 2022). Presumably, it 147 emphasizes the importance of environmental conditions, and more specifically the effect of 148 contrasted seasons in the Arctic area compared to our temperate zones, on elemental 149 availability (because of a decline in food availability) and reduced metabolic activity. In addition, 150 a confounding effect between localities would be a plausible hypothesis to explain the failure to use Mg and Mn profiles. The mixing of three different geographic areas could mask a potential site-specific signal, preventing the detection of a distinct pattern between 1+ and 2+ fish. It could also explain why the multivariate analysis does not improve, even slightly, the performance obtained with the univariate method. However, analyses computed separately for each locality lead to the same inconclusive results.

156 In contrast, when looking at Zn variations, the visual pattern for 1+ and 2+ fish is much 157 more apparent than for Mg and Mn, although the signal varies by an order of magnitude 10 (from 50-60 μ g·g⁻¹ up to almost 600 μ g·g⁻¹, Figure 1). This is also in line with the literature as Zn 158 159 otolith concentration has been reported to highly vary among Baltic cod Gadus morhua 160 populations (Hüssy et al., 2016). Significant differences were also observed between males and 161 females of European plaice Pleuronectes platessa L.(Sturrock et al., 2015a), demonstrating the 162 importance of individual physiological processes in the uptake mechanism. Here, from the raw 163 Zn data (Figure 1), our model successfully reclassified 1+ and 2+ fish with a performance of 164 83.1% and 91.0% respectively (Table 1). Thus, the functional clustering method produced fairly 165 reliable results for this metal as we collected fish from various environments, with particular 166 sharp differences in water temperature, annual discharge, and food availability. In the Adour 167 and Gironde systems, juveniles inhabit rivers under strong influences of snow, with drastic 168 changes between spring (high river flow due to snowmelt and heavy rain associated with cold 169 temperatures) and summer period (low flow combined with high temperatures). In the Bretagne 170 region, seasonal changes are much less severe. Therefore, in theory, the timing and the 171 intensity of Zn incorporation in otolith would resultingly differ among locations, since the 172 synchrony of biotic and abiotic conditions is a prerequisite to the use of a chemical age-tracer 173 (Hüssy et al., 2016). Nevertheless, the good performance from the functional clustering 174 highlights the robustness of natural Zn signals (1+ vs 2+) across spatial and temporal scales in 175 the Bay of Biscay. Our results mirror previous patterns highlighted in studies on pelagic (Hüssy et al., 2016; Heimbrand et al., 2020) and coastal (Sturrock et al., 2015a) marine fish, in addition
to diadromous (Brûlé, 2022; Mainguy et al., 2023) and freshwater fish species.

Hence, in our study and in light of current knowledge, the use of Zn to assess fish age 178 179 appears to be the most reliable tool across distinct environments (i.e. marine, estuarine, and 180 freshwater systems) and species. Although the reliability of Mg and Mn has been demonstrated 181 in other studies (Limburg and Casini, 2018; Hüssy et al., 2021a), we did not identify such trends 182 with Atlantic salmon from the Bay of Biscay. Their complex life cycle and the associated multiple 183 shifts in their foraging strategies and diet may be responsible for the lack of annual elemental pattern in this study, in addition to the mix of three spatially separate populations. Future 184 research could examine the variability of P in otolith as it has been proposed as a promising 185 186 element to estimate fish age (Hüssy et al., 2021b).

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AUTHOR CONTRIBUTIONS

- 200 AF: Formal analysis, Methodology, Validation, Investigation, Writing Original Draft, Writing –
- 201 Review & Editing, Visualization
- 202 **MV:** Methodology, Validation, Writing Review & Editing, Visualization
- 203 HT: Formal analysis, Investigation, Writing Review & Editing
- 204 **CP:** Resources
- 205 **GB:** Conceptualization, Validation, Writing Review & Editing, Supervision, Project
 206 administration, Funding acquisition

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TABLE CAPTION

Table 1: Proportions of correct reattribution and model convergence for each element under 13 study (Mg, Mn, and Zn), obtained from the functional clustering analysis with univariate and 14 multivariate approaches. The results originate from the cross-validation analyses (randomly 15 16 selected in-bag fraction: 0.75, number of iterations: 1000) we conducted on each metal and each possible combination from the initial sampling (n=309 for 1+ fish, n=68 for 2+ individuals, 17 18 n=377 for total sample number). The parameters used to perform the analyses were set as follows: N=150, k=10, $n^{\text{basis}}=5$, $n^{\text{order}}=4$, *itermax*=10000 and *eps*=10⁻⁵ with N being the number 19 of datapoints per profile, k the number of basis to form a spline, n^{basis} the number of β splines 20 used, n^{order} define a polynomial of degree 4, *itermax* the maximal number of iteration in the 21 22 *funHDDC* algorithm and *eps* the threshold of the convergence criterion.

24 Table 1

| Element(s) | | Certified origin | Average proportion of fish correctly assigned ± SD (%) | Proportion of model convergence (%) |
|--------------|----------------|------------------|--------------------------------------------------------|-------------------------------------|
| Univariate | | | | |
| | Ma | 1+ | 94.2 ± 4.0 | /3.2 |
| | Mg | 2+ | 3.6 ± 5.2 | - +0.2 |
| | Ma | 1+ | 78.9 ± 7.2 | 00.2 |
| | IVIN | 2+ | 5.3 ± 6.5 | - 89.3 |
| | | 1+ | 83.1 ± 5.2 | |
| | Zn | 2+ | 91.0 ± 6.4 | - 99.8 |
| Multivariate | è | | | |
| | Ma Ma | 1+ | 79.2 ± 6.9 | 00.0 |
| | ivig-ivii i | 2+ | 5.3 ± 6.2 | - 09.0 |
| | Ma Za | 1+ | 83.0 ± 5.0 | 00.0 |
| | ivig-∠n | | 91.0 ± 6.4 | - 99.9 |
| | Mp 7p | 1+ | 82.6 ± 5.4 | 100 |
| | IVII I-ZI I | 2+ | 91.3 ± 6.3 | - 100 |
| | Ma Ma Za | 1+ | 82.8 ± 5.3 | 00.0 |
| | 1019-10111-211 | 2+ | 91.5 ± 6.3 | - 39.9 |

25 Abbreviations: Mg, Magnesium; Mn, Manganese; SD, Standard Deviation; Zn, Zinc

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FIGURE CAPTION

Figure 1: Raw data distribution of Mg/Ca, Mn/Ca, and Zn/Ca profiles from Atlantic salmon (*Salmo salar*) sagittal otoliths. The left boxes display chemical profiles that were classified as 1+ river-aged individuals while 2+ are shown in the right boxes. The standardized distance [0-1] was obtained using the otolith length between the core and the departure for marine migration.

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FIGURE





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SUPPORTING INFORMATION

13 Table S1: Detailed sampling information for 1+ and 2+ individuals by year of capture in the three

14 localities under study: Adour, Bretagne, and Gironde.

| Year of collection | Number of individuals captured in the Adour | | Number of individuals captured in the Bretagne | | Number of individuals captured in the Gironde | | Total |
|--------------------|---------------------------------------------------|----|------------------------------------------------------|----|-----------------------------------------------------|----|-------|
| | 1+ | 2+ | 1+ | 2+ | 1+ | 2+ | |
| 2010 | | | | | 2 | | 2 |
| 2011 | | | | | 7 | | 7 |
| 2012 | 19 | 5 | | | 14 | 6 | 44 |
| 2013 | 70 | 7 | | | 9 | 4 | 90 |
| 2014 | 79 | 11 | | | 15 | 3 | 108 |
| 2015 | 60 | 11 | | | 7 | 3 | 81 |
| 2016 | 8 | 6 | 11 | 12 | 8 | | 45 |
| Total | 236 | 40 | 11 | 12 | 62 | 16 | |

15

12

| Element(s) | | Number of groups (k) | Loglikelihood ± SD (*10 ³) | Proportion of model convergence (%) | |
|--------------|--------------|----------------------------|----------------------------------------|-------------------------------------|--|
| Univariate | | 0 | 007.45 | 10.0 | |
| | Mg | 2 | -32.7 ± 1.5 | 43.2 | |
| | | 3 | -33.1 ± 2.8 | 2.8 | |
| | N 4 | 2 | -26.1 ± 1.6 | 89.3 | |
| | IVIII | 3 | -25.3 ± 2.5 | 3.7 | |
| | _ | 2 | -40.5 ± 3.2 | 99.8 | |
| | Zn | 3 | -41.4 ± 8.2 | 38.4 | |
| Multivariate |) | | | | |
| | Mg-Mn | 2 | -26.1 ± 1.6 | 89.8 | |
| | | 3 | -24.8 ± 2.0 | 4.3 | |
| | Ma Za | 2 | -40.4 ± 3.1 | 99.9 | |
| | ivig-∠n | 3 | -42.0 ± 8.3 | 35.9 | |
| | Mn-Zn | 2 | -40.5 ± 3.1 | 100 | |
| | | 3 | -42.2 ± 8.8 | 34.5 | |
| | | 2 | -40.5 ± 3.0 | 99.9 | |
| | ivig-ivin-Zn | 3 | -41.8 ± 8.1 | 35.2 | |

16 Table S2: Loglikelihood and proportion of convergence for each possible model tested in this17 study.

18 Abbreviations: Mg, Magnesium; Mn, Manganese; SD, Standard Deviation; Zn, Zinc