

How do biomarkers dance? Specific moves of defense and damage biomarkers for biological interpretation of dose-response model trends

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1	How do biomarkers dance? Specific moves of defense and damage biomarkers for biological					
2 3	Interpretation of dose-response model trends.					
4						
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12	Highlights					
13						
14	• We interpreted 2,595 biomarker dose-response curves generated by chemical exposure.					
15	 Defense biomarkers mainly describe biphasic (bell- or U-shaped) trends. 					
16	 Damage biomarkers mainly describe monotonic (decreasing or increasing) trends. 					
17	Cellular defense and damage responses appear to have been conserved during evolution.					
18	• Response trend analysis is a promising tool for environmental risk assessment.					

- Response trend analysis is a promising tool for environmental risk assessment. •

Graphical abstract



24 Abbreviations

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- 26 AIC, Akaike information criterion; BIC, Bayesian information criterion; CAT, catalase; CRIDaR,
- 27 concentration range inducing damage responses; CRIDeR, concentration range inducing defense
- 28 responses; HSP, heat-shock protein; LC-MS, liquid chromatography-mass spectrometry; ML;
- 29 maximum likelihood; N.D., non-determined; PQL, Penalized Quasi-Likelihood; RMSE, root-mean-
- 30 square error; SOD, superoxide dismutase.

32 Abstract:

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Omics and multi-omics studies are currently increasingly used in ecotoxicology to highlight 34 the induction of known or new biomarkers when an organism is exposed to one (or more) 35 contaminant(s). Although it is virtually impossible to identify all biomarkers from all possible 36 37 organisms, biomarkers can be grouped into two categories, defense or damage biomarkers and they have a limited number of response trends. Our working hypothesis is that defense and 38 damage biomarkers show different dose-response patterns. A meta-analysis of 156 articles and 39 2,595 observations of dose-response curves of well-known defense and damage biomarkers was 40 carried out in order to characterize the response trends of these biological parameters in a large 41 42 panel of living organisms (18 phyla) exposed to a wide variety of inorganic or organic 43 contaminants. Defense biomarkers describe biphasic responses (bell-shaped and U-shaped) to a greater extent than damage biomarkers. In contrast, damage biomarkers varied mainly 44 45 monotonically (decreasing or increasing). Neither the nature of the contaminant nor the type of organisms, whatever the kingdom (Plantae, Animalia, Chromista or Bacteria), influence these 46 specific responses. This result suggests that cellular defense and damage mechanisms are not 47 specific to stressors and are conserved throughout life. The meta-analysis results confirm the 48 usefulness of trend analysis in dose-response models as a biological interpretation of biomarkers 49 in large dataset and their application in determining the concentration ranges inducing defense 50 responses (CRIDeR) and the concentration ranges inducing damage responses (CRIDaR) 51 regardless of the contaminant tested or the organism studied. 52

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54 Keywords: meta-analysis, omics analysis, CRIDaR, CRIDeR, ecotoxicology, toxicology

56 **1. Introduction**

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Lethal endpoints are most commonly used to build dose-response curves to feed in risk 58 assessments of contaminants. There is however a growing interest in identifying sublethal 59 60 endpoints that can provide information on early effects at the individual level. Biomarkers can be used as indicators of sublethal effects, to quantify a stress on an organism of interest. They can 61 be also used for environmental monitoring. A biomarker is defined as an observable and/or 62 measurable change at the molecular, biochemical, cellular, physiological or behavioral level, 63 64 which indicates the present or past exposure of an organism to at least one stressor[1,2]. It can 65 also provide information on early effects at the individual level[3]. The biological unity of the living world means that the same types of biomarkers can be used for organisms belonging to different 66 taxonomic groups. Well-known biomarkers include catalase (CAT)[4], superoxide dismutase 67 (SOD)[5], heat-shock proteins (HSP)[6] and single-strand DNA breaks[7]. A further distinction can 68 be made between defense biomarkers and damage biomarkers[8]. Defense biomarkers result 69 from protective reactions of the organism exposed to a contaminant. Induction of these 70 mechanisms will allow the organism to cope with a stress. Damage biomarkers, on the other hand, 71 reflect a direct and harmful change caused to the exposed organism. They are the result of an 72 alteration that can lead to an inability to grow, reproduce or even survive. 73

The identification of new biomarkers is an important field of research today in a context of 74 75 the increasing complexity of stress caused by global changes[9]. The development of "omics" tools over the last decade is a promising avenue to address that challenge[10–12]. However, 76 despite the recent progress, it is still difficult to provide a biological interpretation to an 77 observation of change in specific sub-cellular or cellular parameters. This is particularly true for 78 79 metabolomics, for which, a large proportion of the metabolites observed cannot be identified with the current information available in databases. In mass spectrometry, especially with liquid 80 chromatography-mass spectrometry (LC-MS) analyses, only 4%-5% of the peaks can be identified 81 in biological samples[13]. This gap in the reference databases is also encountered in genomics[14] 82 83 and proteomics[15].

84 However, even if a biomarker cannot be characterized, it is still possible to model its response 85 as a function of the dose of exposure. These dose-response curves are usually drawn following exposure to increasing concentrations of a substance in order to characterize its toxicity[16]. Four 86 87 main types of trends can then be observed[17]. In bell-shaped curves, an increasing biomarker response up to a maximum is observed at low doses, followed by a decrease at higher 88 concentrations of the exposure substance. The U-shaped curves follow the same principle but in 89 the opposite direction, *i.e.*, a decrease down to a minimum followed by an increase. These two 90 types of trends can be grouped together as biphasic responses. In contrast, monotonic responses 91 are characterized by continuously increasing or decreasing trends. The increasing curves 92 93 correspond to a continuous increase of the biological response studied as a function of the increase of the concentrations of the substance of interest. Conversely, the decreasing curves 94 95 indicate a decrease in the biomarker response as a function of the increase in exposure 96 concentrations.

A very recent study focused on metabolomic response of biofilms exposed to cobalt[18]. The 97 metabolite dose-response curves highlighted a concentration range inducing defense responses 98 (CRIDeR), mainly characterized by bell and U-shape trends, and a concentration range inducing 99 100 damage responses (CRIDaR) mainly composed of increasing and decreasing trends. These two 101 distinctive ranges of concentrations were validated with the use of biomass and chlorophyll 102 measurements, confirming the usefulness of this novel approach. Our working hypothesis is then that the trends in dose-response curves depend on the role of the biomarker, *i.e.*, defense or 103 104 damage biomarkers, which can be distinguished according to whether they describe a biphasic or 105 monotonic response. To this end, we conducted a meta-analysis in which we examined the trends 106 of biomarker dose-response curves in several phyla exposed to a contaminant (organic or inorganic). We tested whether this hypothesis was valid regardless of the studied contaminant 107 108 and phylum. There was no *a priori* on the species in order to have a broad representation of the 109 response to contamination. The conclusions of this study could be used to interpret the doseresponse curves plotted from a large data set of unidentified "omics" data and support their use 110 111 in environmental risk assessment.

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113 **2. Material and methods**

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- 115 2.1. Biomarker compilation

Compilation of biomarkers was carried out searching Google Scholar and Scopus using 116 combination of keywords, e.g., biomarker AND ecotoxicology AND dose-response. Biomarkers 117 were classified either as defense or damage according to their role in organisms (Table 1 and 118 Table S1)[19,20]. The search was performed between September 2022 and June 2023, without 119 any restriction on the publication date. The aim was to reach an equal proportion of observations 120 121 of biomarkers of damage and defense (around 1,000 observations for each category), as well as between organic and inorganic contaminants. To achieve this, we had to refine the article search 122 by adding keywords to the initial search: (i) damage biomarker OR defense biomarker AND 123 ecotoxicology AND dose-response, (ii) biomarker AND ecotoxicology AND dose-response AND 124 125 metal.

- 126
- 127 2.2. Selection criteria

Once the article compilation was completed, selection criteria were applied after a more detailed analysis of the articles: i) only articles on whole organisms (no cell lines) were considered, without selection criteria on the phylum and the species studied, ii) only experiments performed under controlled conditions were kept (no field studies) to have results represented as doseresponse curves, iii) only studies on the toxicity of organic and inorganic contaminants were chosen and iv) the number of tested contaminant concentrations had to be equal to or greater than four to be able to identify a trend in the dose-response studied.

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2.3. Data extraction

For all articles meeting the selection criteria, the information used for the meta-analysis 137 was extracted as follows. First, each biomarker was classified in either as defense or damage 138 categories according to its biological role (Table 1). The studied species and its taxa were 139 documented (Table S1). Information on the contaminant was also indicated e.g. its name, its 140 141 category (organic or inorganic), the range of concentrations tested, the number of concentrations tested and the exposure time. Finally, the trends of fluctuations in the response of the biomarkers 142 were examined according to the significance of the statistical tests used by the different authors. 143 144 Two scenarios were encountered.

In the first one, statistical tests were performed to compare the biological response at 145 146 each tested concentration. If at the highest concentration, the response of the biomarker was significantly higher than that of the control and that no intermediate concentration had a 147 response significantly higher than the response at the highest concentration, then the response 148 149 trend was characterized as "increasing". Conversely, if at the highest concentration tested, the response of the biomarker was significantly lower than that of the control and that no 150 151 intermediate concentration had a response significantly lower than the response at the highest concentrations, then the response trend was characterized as "decreasing". On the other hand, 152 if at an intermediate concentration (or several if they followed one another), the response of the 153 biomarker studied was both significantly higher than that of the control group and that of the 154 highest concentration tested, then the trend was considered to be "bell-shaped". In the same 155 way, if at an intermediate concentration (or several if they followed one another), the response 156 of the biomarker studied was both significantly lower than that of the control group and that of 157 the highest concentration, then the trend was considered to be "U-shaped". 158

In the second case, the statistical tests compared the biological response only to the 159 160 control group. Then the trends were determined as follows: the trend was characterized as "increasing" when (i) only the biomarker response at the highest concentration was significantly 161 162 higher than that of the control or (ii) the biomarker response at the highest concentration was significantly higher than that of the control. All the lower limits of the standard deviations of the 163 164 response at the previous intermediate concentrations, which were significantly higher than that of the control, had to be lower than the upper standard deviation of the highest concentration. 165 In addition, no response at any intermediate concentrations was to be significantly lower than 166 that of the control. Conversely, the trend was characterized as "decreasing" when (i) only the 167 biomarker response at the highest concentration was significantly lower than that of the control 168 169 or (ii) the biomarker response at the highest concentration was significantly lower than that of 170 the control. All the upper limits of the standard deviations at the previous intermediate concentrations, which were significantly lower than that of the control, had to be greater than 171 the lower standard deviation of the highest concentration. In addition, no response at any 172 173 intermediate concentrations was to be significantly higher than that of the control. The trend was characterized as "bell-shaped" when (i) the response of the biomarker at an intermediate 174 concentration (or several if they followed one another) was significantly greater than that of the 175 176 control group, and (ii) the lower limit of the standard deviation of the response at the 177 intermediate concentration was greater than the upper limit of the standard deviation of the 178 response of the group exposed to the highest concentration. In the same way, when (i) the 179 response of the biomarker at an intermediate concentration (or several if they followed each other) was significantly smaller than that of the control group, and (ii) its upper limit of the 180 standard deviation was smaller than the lower limit of the standard deviation of the response at 181 the highest concentration, then the trend was characterized as "U-shaped". 182

In cases where there were no significant response differences between the tested concentrations, then the trend was characterized as "constant". In all other cases, for example when the response seemed to show more than two trends, it could not be characterized and was tagged as "non-determined" (N.D.). These last two categories of trends (constant and N.D.) were not considered in the meta-analysis.

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189 2.4. Data analysis

First, the effect of biomarker type on response trends was investigated. To that end, 190 191 multinomial logit models were fitted to model the proportion of response change as groups of monotonic or biphasic trends in relation to the biomarker type -damage as reference or defense-192 ("Effect"), the contaminant studied -inorganic as reference or organic- ("Contaminant") and the 193 number of studied concentrations ("scale(Concentration)"). Random effect variables were 194 introduced into the basic model, including the bibliographic references, e.g., selected articles, 195 ("Ref"), exposure time ("Time exposure") and phylum ("Phylum"). Each combination of random 196 197 effect variables was tested and the model with the best AIC (Akaike Information Criterion) was 198 chosen[21]. Secondly, a multinomial logit model was also fitted to model the proportion of response trends (divided into four categories: bell-shaped, decreasing, increasing and U-shaped) in relation to the biomarker type (damage as reference or defense), the contaminant studied (inorganic as reference or organic). As before, random effect variables were added to the basic model, including the bibliographic reference, exposure time and phylum information. Each combination of random effect variables was also tested and the model with the best AIC was chosen.

Finally, a multinomial logit model was also fitted to study the type of response (monotonic 205 or biphasic trends) of the most represented phyla (at least 50 observations) along four kingdoms 206 (Animalia, Plantae, Chromista and Bacteria) in the analysis, i.e., Annelida (552), Arthropoda (191), 207 Chlorophyta (323), Chordata (283), Cyanobacteria (51), Magnoliophyta (688), Mollusca (278) and 208 209 Ochrophyta (97), in relation to the biomarker type (damage as reference or defense), the 210 contaminant studied (inorganic as reference or organic) and the number of studied concentrations with "bibliographic reference" as a random effect variable. Multinomial logit 211 212 models were fitted using the function *mblogit* in the *mclogit* package (0.9.7.)[22] with the maximum likelihood (ML) as an estimator of variance components and the Penalized Quasi-213 214 Likelihood (PQL) method for modeling the random effects set. Statistical analyses were 215 performed on R software.

- 216
- 217 2.5. Bias and *a posteriori* check

The authors developed the strategy for article selection and subsequent data extraction. One author carried out the selection and data extraction. In order to assess the possible interpretation bias in the data extraction, *i.e.*, in the determination of the trend in biological responses, 20% of randomly selected articles (34/156) was additionally analyzed by the second author. A percentage of similarity was calculated between the author 1's and the author 2's data extractions, and corrections were made where necessary.

- 224
- 225 3. Results
- 226
- 3.1. Data compilation
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The process for selecting studies and selected extracted data observations (identification, 229 screening, eligibility and inclusion in the meta-analysis) is reported in a flow diagram (Fig. S1). The 230 231 global build-up database of the meta-analysis is available in the supplementary information section (Table S1). On 4,126 identified articles, a total of 156 articles were selected for this meta-232 analysis and 3,176 observations of variation of biomarkers could be extracted. Among them, 581 233 234 categorized as "constant" or "N.D." were subsequently removed. One hundred and nineteen 235 species are represented along 18 phyla (Annelida: 552 observations, Arthropoda: 191, Ascomycota: 6, Cercozoa: 4, Charophyta: 32, Chlorophyta: 332, Chordata: 283, Ciliophora: 16, 236 Cnidaria: 7, Cyanobacteria: 51, Echinodermata: 4, Euglenozoa: 3, Haptophyta: 24, Mollusca: 278, 237 Myzozoa: 6, Ochrophyta: 97, Porifera: 6, Magnoliophyta: 688) and communities (biofilm: 15). The 238 239 impacts of 176 contaminants (or contaminant combinations) including personal care products, 240 pesticides, herbicides, nanoparticles or metals were studied (Table S1).

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3.2. Data interpretation

In total, 20% of the total observations were re-extracted (636/3,176) out of the 34 randomly selected articles for comparison of trend analysis. The percentage of data extraction similarity between the two investigators was 97.6%.

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- 3.3. Model selection

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Based on the AIC values (equations 5 and 13 in Table S2), the best-fit models to explain the variations in the biphasic (bell-shaped and U-shaped) and monotonic (decreasing and increasing) trends were for the fixed effects: the type of biomarker ("Effect"), the type of contaminant ("Contaminant") and the number of concentrations tested ("scale(Concentration)") and, for the random effects, the article selected ("Ref").

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3.4. Response trends of biomarkers according to their category: defense or damage

258 In the compiled database, biomarkers distribute almost equally between defense 259 biomarkers (1,325) and damage biomarkers (1,270) (Table S1). Among the defense biomarkers, 260 402 (30.3%) had a bell-shaped trend, 299 (22.6%) had a decreasing trend, 529 (39.9%) had an 261 increasing trend and 95 (7.2%) had a U-shaped trend. This distribution was different for damage 262 biomarkers, i.e., 139 (10.9%) had a bell-shaped trend, 439 (34.6%) had a decreasing trend, 644 263 (50.7%) had an increasing trend and 48 (3.8%) had a U-shaped trend (Fig. 1A and Table 2). The proportion of biomarkers with a biphasic trend (bell-shaped or U-shaped) is significantly higher 264 for defense biomarkers than for damage biomarkers (Table 2) (*p*-value < 2e-16) whereas the 265 266 proportion of biomarkers with a monotonic trend (decreasing or increasing) is significantly greater for damage biomarkers than for defense biomarkers (Table 2) (p-value < 2e-16). More 267 precisely, the proportion of bell-shaped trends is significantly greater than that of decreasing and 268 269 increasing trends for defense biomarkers (Tables 3A-C). For clarity, here and in the rest of the text, *p*-values of the models are only given in their corresponding tables. Conversely, the 270 271 proportions of decreasing and increasing trends are significantly greater than the bell-shaped 272 trends for damage biomarkers (Tables 3A-C). The same observation was made for the proportions 273 of U-shaped trends compared with those of decreasing and increasing trends (Tables 3B-D).

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2753.5. Response trends of biomarkers according to the type of contaminant: organic or276inorganic

A total of 1,321 observations in the meta-analysis database relates to the effect of organic contaminants and 1,274 to the effect of inorganic contaminants. Biomarkers concerning organic contaminant exposure were either categorized as defense biomarkers (646) or damage biomarkers (675) (Table S1). Among the defense biomarkers, 223 (34.5%) had a bell-shaped trend, 150 (23.2%) had a decreasing trend, 208 (32.26%) had an increasing trend and 65 (10.1%) had a U-shaped trend. The observations were different for damage biomarkers, *i.e.*, 78 (11.6%) had a bell-shaped trend, 224 (33.2%) had a decreasing trend, 342 (50.7%) had an increasing trend and

31 (4.6%) had a U-shaped trend (Fig. 1B). The different biomarkers following inorganic 285 286 contaminant exposure were distributed with 679 as defense biomarkers and 595 as damage biomarkers (Table S4). Among the defense biomarkers, 179 (26.4 %) had a bell-shaped trend, 149 287 (21.9%) had a decreasing trend, 321 (47.3%) had an increasing trend and 30 (4.4%) had a U-288 289 shaped trend. For damage biomarkers, the observations were different with 614 (10.3%) with a 290 bell-shaped trend, 215 (36.1%) with a decreasing trend, 302 (50.8%) with an increasing trend and 17 (2.9%) with a U-shaped trend (Fig. 1C). The proportion of biomarkers with a biphasic trend 291 (bell-shaped or U-shaped) and the proportion of biomarkers with a monotonic trend (decreasing 292 or increasing) were not affected by the type of contaminant (Tables 2, 3, S3-6 and S8-10). The 293 294 type of contaminant had only an influence on the proportion of U-shaped trends (Table 3D), with 295 more U-shaped response after an exposure to organic contaminants. In all other cases, the 296 contaminant type had no influence on the type of trends.

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- 3.6. Biomarker response trends according to phylum

300 To determine the effects of taxa on the biomarker response trends, bell- and U-shaped 301 trends were grouped as a biphasic response whereas the increasing and decreasing trends were 302 classified as a monotonic response. These groupings into two main categories were made to have 303 a sufficient number of data for each type of response in each phylum. For the selected phyla, a 304 uniform response is obtained. Defense biomarkers have significantly higher proportions of 305 biphasic trends than damage biomarkers (Fig. 2, and Tables S3-6 and S8-10), except for 306 Cyanobacteria where there is no significant difference among groupings (Table S7). Conversely, damage biomarkers have significantly higher proportions of monotonic trends than defense 307 biomarkers. The type of contaminant (inorganic or organic) had no influence on the response 308 trends. 309

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- 3.7. Biomarker response trends according to the number of tested concentrations

The number of concentrations used has a significant influence on the observation of biphasic trends. Indeed, the larger it is, the greater the proportion of biphasic trends (bell- and Ushaped) compared to monotonic trends (Tables 2 and 3A-D). Overall, 40% of our observations came from studies that had tested four concentrations, 30% of the observations from five concentrations, 18% from six concentrations and the remainder between 7 and 15 concentrations.

- 320 4. Discussion
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- 4.1. Biomarkers have different response patterns depending on their biological role
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The present meta-analysis highlights that defense biomarkers can mainly be described by a bell- or a U-shape dose-response curve, *i.e.*, by a biphasic trend, whereas the damage biomarkers are mainly characterized by a linear trend, either increasing or decreasing, *i.e.*, by a monotonic trend (Fig. 1A). This difference in response trend could be related to the intrinsic mechanisms of these biomarkers. Molecular, sub-cellular and cellular processes involved in the

defense of organisms against stress (here exposure to a contaminant) are induced at low 329 330 concentrations. Then, the concentrations of defense biomarkers describing a bell-shape curve increase as a function of the increase of contaminant concentration in an attempt to maintain 331 cellular integrity. When the concentrations of the contaminant are too high, these defense 332 333 mechanisms are overwhelmed and a reduction in their response at the highest concentrations is 334 then often gradually observed[23]. Defense biomarkers with a U-shaped dose-response would follow the same principle of biphasic response but in reverse[23]. The induction of damage 335 biomarkers would be initiated mainly once the defense mechanisms are overwhelmed and would 336 337 result from the continuous degradation of cellular and sub-cellular compounds, hence their increasing and decreasing trend are proportional to the intensity of the stress[24]. 338

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4.2. Contaminants trigger similar defense and damage mechanisms in organisms

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342 Trends in defense and damage biomarkers were demonstrated to be independent of the nature of the contaminants (organic or inorganic). Indeed, except for the U-shaped response 343 344 trends after exposure to an inorganic contaminant (Tables 3A-D), the biomarkers involved in 345 defense mechanisms were mainly described by an increase in bell-shaped and U-shaped trends whereas those involved in damage processes mainly vary in a linear way (Fig. 1B and 1C). This 346 observation supports the tenet that cellular defense mechanisms are not specific to a particular 347 348 stress[25] but can respond to a multitude of disturbances. In this study, the impact of organic and inorganic contaminants was assessed but similar results might be observed with other stressors 349 350 such as pH, UV irradiation or temperature. For example, increasing temperature resulted in a GST 351 activity describing a bell-shaped trend in the fish Sparus aurata[26] and U-shaped trend in the fresh crab Aegla longirostri[27]. In both organisms, CAT activity in their livers and hepatopancreas 352 followed a bell-shaped trend whereas MDA levels in their muscles showed an increasing trend. 353 354 Cocktail-effects studies also show these patterns of fluctuation specific to defense and damage 355 biomarkers. For example, EROD (CYP450), GST and SOD activities in goldfish exposed to a mixture 356 of norfloxacin and sulfamethoxazole described a bell-shaped response trend with increasing 357 exposure concentrations whereas DNA damage increased strictly monotonically with contaminant concentrations[28]. 358

359 Here, the parameters considered for each contaminant were the exposure concentrations 360 and the modification of biomarker responses. However, similar trends could also be observed by 361 studying exposure time (for a given concentration). In earthworms (*Eisenia fetida*) exposed to perfluorooctane sulfonamide for 10 days, two defense biomarkers (CYP450 and GST) showed bell-362 shaped response trends over time[29]. The biomarkers of damage (MDA level and AChE activity) 363 in Bellamya aeruginosa exposed for 28 days to different concentrations of Cd and Pb (alone or in 364 a mixture) always varied monotonically (increase) as a function of time whereas defense 365 366 biomarkers (SOD, CAT, GPx or metallothioneins) described bell- or U-shaped trends over time at certain concentrations[30]. Nevertheless, further analysis of the literature remains to be done to 367 368 conclude on these trends regarding other stresses, cocktail-effects and exposure time.

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4.3. Defense and damage mechanisms are preserved throughout life

The specific study of each of the most represented phyla (Annelida, Arthropoda, 372 373 Chlorophyta, Chordata, Cyanobacteria Magnoliophyta, Mollusca and Ochrophyta) allowed us to observe the same type of response of defense and damage biomarkers. Indeed, the defense 374 biomarkers showed significantly more biphasic responses than the damage biomarkers. 375 376 Conversely, they showed significantly less monotonic responses (Fig. 2). This was observed for all phyla considered in the analysis (Tables S3-10). As such, Plantae (unicellular and pluricellular) 377 mainly responded to contaminant exposure (organic and inorganic) in a similar way as Animalia. 378 Although the number of observations was lower for Chromista and Bacteria, the responses to 379 exposure to contaminants were also comparable to organisms from the other kingdoms studied. 380 381 These observations allow to support, that the processes of cellular defense have been conserved 382 during the evolution within different kingdom[31] and that the organizational similarity of cells 383 leads to comparable cell damage between different species.

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4.4. Importance of a number of tested concentrations in ecotoxicology

387 This study also demonstrated the importance of having several tested concentrations in ecotoxicology tests. A large range of tested concentrations increases the possibility of observing 388 biphasic trends, potentially leading to a better understanding of the underlying toxicity 389 mechanisms. This is particularly true if the concentration range also includes "low" 390 391 concentrations[32]. Adding intermediary concentrations with similar concentration intervals 392 would provide a best modelling of the dose-response curves.

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- 4.5. Implications for the use of metabolomics in ecotoxicology

397 Part of the biomarkers compiled in the present meta-analysis are metabolites of low 398 molecular weight. With the increase use of metabolomics and non-targeted approaches in 399 particular, a large number of metabolites as well as their variation can be measured in organisms 400 upon exposure to a contaminant. However, the identification of these metabolites remain challenging as many metabolites remain unannotated in the currently available databases[13]. 401 402 Assessing their variations via the use of tools such as DRomics for example[17] and analysing their 403 dose-response trends such as performed in the present meta-analysis is an innovative way to 404 evaluate stress in organisms without losing the interest of omics studies and their large number 405 of data[18].

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- 5. Conclusion
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- 409 The present meta-analysis of biomarker response trends showed that the defense
- mechanisms of living organisms (Animalia, Bacteria, Chromista and Plantae) exposed to 410
- 411 different types of contaminants (organic and inorganic) predominantly described biphasic (bell-
- 412 and U-shaped) dose-responses. In contrast, the damage processes induced by these
- contaminants were mostly monotonic (increasing and decreasing). The meta-analysis confirms 413

- the relevance of dose-response trend analysis as a new omics data processing approach and the
- 415 identification of CRIDeR and CRIDaR for environmental risk assessment.

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Figure 1: Proportions of biomarker response trends by response mechanism (defense or damage). (A) Influence of the category of biomarkers on the response trends to a contaminant exposure; (B) to an organic contaminant exposure; (C) to an inorganic contaminant exposure.



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Figure 2: Representation of an unrooted tree of the most represented phyla (with a species example representation) and their proportions of trend of biomarker responses by response mechanism (defense or damage).

Table 1: Examples of biomarker classification (defense and damage) as a function of their
 molecular, sub-cellular and cellular role.

Class of biomarkers	Roles	Biomarkers	Reference		
	Biotransformation enzymes and	Cytochrome P450 (CYP450)	[33]Stegeman et al. (1992)		
	associated compounds	Glutathione S-transferase (GST)	[34]George (1994)		
		Glutathione (GSH)	[34]George (1994)		
		P-glycoproteins	[35]Bard (2000)		
	Toxic efflux	Multixenobiotic resistance systems (MXR)	[35]Bard (2000)		
	Motals datavisation	Metallothioneins	[36]Hall (2002)		
		Phytochelatins	[36]Hall (2002)		
Defense biomarkers		Superoxide dismutase (SOD)	[33]Stegeman et al. (1992)		
	Oxidative stress response enzymes	Catalase (CAT)	[33]Stegeman et al. (1992)		
	and molecules	Glutathione peroxidase (GPx)	[37]Lauterburg et al. (1982)		
		Glutathione reductase (GR)	[19]Van der Oost et al. (2003		
	Chaperone proteins	Heat shock proteins (HSP)	[38]Feder and Hofmann (1999)		
		Acetylcholinesterase (AChE)	[39]Payne et al. (1996)		
		Vitellogenin	[40]Matozzo et al. (2008)		
	Molecular damages	Malondialdehyde (MDA)	[41]Janero (1990)		
		Photosynthetic pigments (chlorophyll <i>a/b</i> , carotenoids)	[42]Pikula et al. (2019)		
		DNA adducts	[43]La and Swenberg (1996)		
Damage biomarkers	DNA damages	Single-strand DNA breaks	[7]Dhawan and Bajpayee (2009)		
		Double-strand DNA breaks	[44]Heddle et al. (1983)		
	Subcellular and cellular damages	Lysosomal membrane stability	[45]Lowe and Pipe (1994)		
	Energetic metabolism damages	Adenylate energy charge (CEA)	[46]Lagadic et al. (1994)		
		Hydrogen peroxide	[47]Halliwell and Gutteridge (1989)		
	ROS production	Superoxide radical	[47]Halliwell and Gutteridge (1989)		
		Hydroxyl radical	[47]Halliwell and Gutteridge (1989)		

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423**Table 2**: Results of the Multinomial Logistic Regression to examine the effect of the type of424biomarkers (Defense vs. Damage), the type of contaminants (Inorganic vs. Organic) and the425number of tested concentrations on response trends (Biphasic or monotonic). Significant fixed426effects are depicted in bold font and indicated with asterisks as follows: * p < 0.5, ** p < 0.01, ***427p < 0.001.

Fixed Effects						
Contrast	Effect	Estimate	Std.Error	Ζ	p	
	Intercept	2.1344	0.1818	11.739	< 2e-16 ***	
monotoniovo	Effect-Damage (ref)					
hiphasic	Effect-Defense	-1.3108	0.1194	-10.980	<2e-16 ***	
Dipitasic	Contaminant-Inorganic (ref)					
	Contaminant-Organic	-0.3764	0.2287	-1.646	0.0997	
	scale(Concentration)	-0.4664	0.1067	-4.370	1.24e-05 ***	
	Random Effects					
	.~1		Approximate residual deviance:	2,471		
Ref	Ref Estimate	Std.	Number of Fisher scoring 6 iterations: 6	c		
		Error				
hinhasic~1	~1 0.7488	0.05249	Number of observations	Groups by	156	
bipildsic 1				references:	150	
monotonic ~1	0.613	0.02514		Individual	2,595	
				observations:	_,	
	Model p	erformance				
AIC	BIC	RMSE	Sigma			
2,483.06	2,518.229	0.37	0.977			

428

- 430 **Table 3**: Results of the Multinomial Logistic Regression to examine the effect of the type of
- 431 biomarkers (Defense vs. Damage), the type of contaminants (Inorganic vs. Organic) and the
- 432 number of tested concentrations on response trends (bell-shaped, decreasing, increasing and U-
- 433 shaped).(A) Model with bell-shaped trend as referent, (B) model with decreasing trend as
- 434 referent, (C) model with increasing trend as referent and (D) model with U-shaped as referent.
- Significant fixed effects are depicted in bold font and indicated with asterisks as follows: * *p*
- 436 <0.05, ** *p* < 0.01, *** *p* < 0.001.

Contrast Effect Estimate Std.Error z ρ Intercept 1.32218 0.022965 5.757 8.55e-09 *** decrease vs Effect-Damage (ref) -	(A)	Fi				
Intercept 1.32218 0.22965 5.757 8.55e-09*** Effect-Damage (ref) -	Contrast	Effect	Estimate	Std.Error	Z	р
$\begin{array}{ c c c } \hline \begin{tabular}{ c c c } \hline Effect-Damage (ref) & & & & & & & & & & & & & & & & & & &$		Intercept	1.32218	0.22965	5.757	8.55e-09 ***
decrease vs bell Effect-Defense -1.34802 0.14858 -9.071 <2e-16 *** Contaminant-lorganic (ref) - <td></td> <td>Effect-Damage (ref)</td> <td></td> <td></td> <td></td> <td></td>		Effect-Damage (ref)				
bell Contaminant-lorganic (ref) 0.29334 -0.302 0.76233 scale[Concentration] -0.44673 0.14127 -3.162 0.00157 ** scale[Concentration] -0.44673 0.14127 -3.162 0.00157 ** Intercept 1.8892 0.2206 8.565 <2e-16 ***	decrease vs	Effect-Defense	-1.34802	0.14858	-9.071	<2e-16 ***
$ \frac{ \begin{tabular}{ c c c } \hline Contaminant-Organic & -0.08871 & 0.29334 & -0.302 & 0.76233 \\ \hline scale(Concentration) & -0.44673 & 0.14127 & -3.162 & 0.00157** \\ \hline \begin{tabular}{ c c c c } \hline & & & & & & & & & & & & & & & & & & $	bell	Contaminant-Inorganic (ref)				
		Contaminant-Organic	-0.08871	0.29334	-0.302	0.76233
$\begin{tabular}{ c c c c } \hline $$ $$ $$ $$ $$ $$ $$ $$ $$ $$ $$ $$ $$		scale(Concentration)	-0.44673	0.14127	-3.162	0.00157 **
$\bell \bell \bel$		Intercept	1.8892	0.2206	8.565	<2e-16 ***
increase vs bell Effect-Defense -1.3884 0.1392 -9.975 <2e-16*** Contaminant-Inorganic (ref) -0.3710 0.2828 -1.312 0.189 scale(Concentration) -0.6043 0.1328 -4.550 5.36e-06 *** Intercept -1.0918 0.2639 -4.137 3.51e-05 *** Intercept -1.0918 0.2639 -4.137 3.51e-05 *** Effect-Damage (ref) - - - - Contaminant-Inorganic (ref) - - - - Contaminant-Organic 0.5589 0.2840 1.968 0.0491 * scale(Concentration) -0.3030 0.1297 -2.337 0.0194 * Ref 1 1.063 0.2159 Number of Fisher scoring iterations: 7 decrease ~1 1.052 0.1818 Number of observations Groups by references: Individual observations: 2,595 U ~1 1.119e-06 1.9 1.123e- 19 - - U ~1 BIC RMSE Sigma 5,415.		Effect-Damage (ref)				
Contaminant-Inorganic (ref) Contaminant-Organic -0.3710 0.2828 -1.312 0.189 scale(Concentration) -0.6043 0.1328 -4.550 5.36e-06 *** Intercept -1.0918 0.2639 -4.137 3.51e-05 *** Intercept -1.0918 0.2639 -4.137 3.51e-05 *** Effect-Damage (ref) - - - - Contaminant-Inorganic (ref) - - - - Contaminant-Organic 0.5589 0.2840 1.968 0.0491 * scale(Concentration) -0.3030 0.1297 -2.337 0.0194 * Ref 1 1 Approximate residual deviance: 5,384 bell~1 1.063 0.2159 Number of observations Groups by references: 156 increase ~1 1.052 0.1818 observations: 2,595 2,595 U ~1 1.119e-06 1.123e- 19 0 0 2,595	increase vs	Effect-Defense	-1.3884	0.1392	-9.975	<2e-16 ***
$\begin{tabular}{ c c c c c c } \hline \hline Contaminant-Organic & -0.3710 & 0.2828 & -1.312 & 0.189 \\ \hline scale(Concentration) & -0.6043 & 0.1328 & -4.550 & 5.36e-06 *** \\ \hline lntercept & -1.0918 & 0.2639 & -4.137 & 3.51e-05 *** \\ \hline Effect-Darage (ref) & & & & & & & & & & & & & & & & & & &$	bell	Contaminant-Inorganic (ref)				
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $		Contaminant-Organic	-0.3710	0.2828	-1.312	0.189
$\besize the first set of the first set$		scale(Concentration)	-0.6043	0.1328	-4.550	5.36e-06 ***
$\begin{tabular}{ c c c c c } \hline Effect-Damage (ref) & & & & & & & & & & & & & & & & & & &$		Intercept	-1.0918	0.2639	-4.137	3.51e-05 ***
U vs bell Effect-Defense -0.3638 0.2194 -1.658 0.0973 Contaminant-Inorganic (ref) Contaminant-Organic 0.5589 0.2840 1.968 0.0491 * Scale(Concentration) -0.3030 0.1297 -2.337 0.0194 * Ref Approximate residual deviance: 5,384 bell~1 1.063 0.2159 Approximate residual deviance: 5,384 decrease ~1 1.063 0.2159 Number of Fisher scoring iterations: 7 decrease ~1 1.052 0.1818 Number of observations Groups by references: 156 increase ~1 1.052 0.1818 2,595 2,595 2,595 U ~1 1.119e-06 1.123e- 19 2,595 2,595		Effect-Damage (ref)				
Contaminant-Inorganic (ref) Contaminant-Organic 0.5589 0.2840 1.968 0.0491 * Contaminant-Organic 0.5589 0.2840 1.968 0.0491 * scale(Concentration) -0.3030 0.1297 -2.337 0.0194 * Ref .~1 Approximate residual deviance: 5,384 bell~1 1.063 0.2159 Number of Fisher scoring iterations: 7 decrease ~1 1.12 0.2511 Number of observations Groups by references: 156 increase ~1 1.052 0.1818 Approximate residual deviance: 5,384 2,595 U ~1 1.119e-06 1.123e- 19 Groups by references: 156 Model performance Model performance Sigma 2,595 5,509.287 0.357 1.444	LLvc boll	Effect-Defense	-0.3638	0.2194	-1.658	0.0973
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	O VS Dell	Contaminant-Inorganic (ref)				
scale(Concentration) -0.3030 0.1297 -2.337 0.0194 * Random Effects Approximate residual deviance: 5,384 5,384 5,384 Ref .~1 Approximate residual deviance: 5,384 7 bell~1 1.063 0.2159 Number of Fisher scoring iterations: 7 decrease ~1 1.12 0.2511 Number of observations Groups by references: 156 increase ~1 1.052 0.1818 Number of observations Groups by references: 156 U ~1 1.119e-06 1.123e- 19 2,595 2,595 AIC BIC RMSE Sigma 5,415.505 5,509.287 0.357 1.444		Contaminant-Organic	0.5589	0.2840	1.968	0.0491 *
Random EffectsRef.~1EstimateStd. Errorbell~11.0630.2159decrease ~11.120.2511increase ~11.0520.1818U ~11.119e-061.123e- 19Model performanceSigma 1.444		scale(Concentration)	-0.3030	0.1297	-2.337	0.0194 *
Ref.~1Approximate residual deviance:5,384bell~11.0630.2159Number of Fisher scoring iterations:7decrease ~11.120.2511Number of observationsGroups by references: Individual observations:156increase ~11.0520.18182,595U ~11.119e-061.123e- 199Model performanceAICBICRMSESigma 0.3575,415.5055,509.2870.3571.444		Random Effects				
InterEstimateStd. ErrorApproximate residual deviance:5,384bell~11.0630.2159Number of Fisher scoring iterations:7decrease ~11.120.2511Number of observationsGroups by references: Individual observations:156increase ~11.0520.18181.123e- 191.119e-062,595U ~11.119e-061.123e- 1911.123e- 191.123e- 191.123e- 19Model performanceAICBICRMSESigma 0.3571.444	Rof	.~1				
bell~1 1.063 0.2159 Number of Fisher scoring iterations: 7 decrease ~1 1.12 0.2511 Number of observations Groups by references: Individual observations: 156 u ~1 1.052 0.1818 Individual observations: 2,595 U ~1 1.119e-06 1.123e- 19 Individual observations: 2,595 AIC BIC RMSE Sigma 0.357 1.444	Kei	Estimate	Std. Error	Approximate residual deviance:	5,384	
decrease ~1 1.12 0.2511 Number of observations Groups by references: Individual observations: 156 u ~1 1.052 0.1818 2,595 U ~1 1.119e-06 1.123e- 19 0 0 Model performance Sigma Sigma Sigma 5,415.505 5,509.287 0.357 1.444	bell~1	1.063	0.2159	Number of Fisher scoring iterations:	7	
increase ~1 1.052 0.1818 U ~1 1.119e-06 1.123e- 19 AIC BIC RMSE Sigma 5,415.505 5,509.287 0.357 1.444	decrease ~1	1.12	0.2511	Number of observations	Groups by references:	156
U ~1 1.119e-06 1.123e- 19 Model performance AIC BIC RMSE Sigma 5,415.505 5,509.287 0.357 1.444	increase ~1	1.052	0.1818		Individual observations:	2,595
Model performance AIC BIC RMSE Sigma 5,415.505 5,509.287 0.357 1.444	U ~1	1 1.119e-06	1.123e- 19			
AIC BIC RMSE Sigma 5,415.505 5,509.287 0.357 1.444		Model ne	1			
5,415.505 5,509.287 0.357 1.444	AIC BIC RMSE Sigma					
	5,415.505	5,509.287	0.357	1.444		

(B) Fixed Effects (decreasing as referent)					
Contrast	Effect	Estimate	Std.Error	Ζ	р
	Intercept	-1.32218	0.22965	-5.757	8.55e-09 ***
	Effect-Damage (ref)				
bell vs	Effect-Defense	1.34802	0.14858	9.072	<2e-16 ***
decrease	Contaminant-Inorganic (ref)				
	Contaminant-Organic	0.08871	0.29334	0.302	0.76233
	scale(Concentration)	0.44673	0.14127	3.162	0.00157 **
	Intercept	0.56699	0.19998	2.835	0.00458 **
	Effect-Damage (ref)				
increase vs	Effect-Defense	-0.04039	0.11752	-0.344	0.73108
decrease	Contaminant-Inorganic (ref)				
	Contaminant-Organic	-0.28232	0.27445	-1.029	0.30363
	scale(Concentration)	-0.15753	0.13920	-1.132	0.25779
	Intercept	-2.4140	0.2515	-9.598	<2e-16 ***
	Effect-Damage (ref)				
U vs	Effect-Defense	0.9842	0.2095	4.698	2.63e-06 ***
decrease	Contaminant-Inorganic (ref)				
	Contaminant-Organic	0.6476	0.2804	2.310	0.0209 *
	scale(Concentration)	0.1437	0.1395	1.030	0.3031
	Random Effects				
Rof	.~1				
Ker	Estimate	Std. Error	Approximate residual deviance:	5,384	
decrease~1	1.12	0.2511	Number of Fisher scoring iterations:	7	
bell ~1	1.063	0.2159	Number of observations	Groups by references:	156
increase ~1	1.052	0.1818		Individual observations:	2,595
U ~1	1.033e-06	8.832e- 20			
Model performance					
AIC	BIC	RMSE	Sigma		
5,415.505	5,509.287	0.357	1.444		

(C) Fixed Effects (increasing as referent)					
Contrast	Effect	Estimate	Std.Error	Ζ	р
	Intercept	-1.8892	0.2206	-8.565	<2e-16 ***
	Effect-Damage (ref)				
bell vs	Effect-Defense	1.3884	0.1392	9.975	<2e-16 ***
increase	Contaminant-Inorganic (ref)				
	Contaminant-Organic	0.3710	0.2828	1.312	0.189
	scale(Concentration)	0.6043	0.1328	4.550	5.36e-06 ***
	Intercept	-0.56699	0.19998	-2.835	0.00458 **
	Effect-Damage (ref)				
decrease vs	Effect-Defense	0.04039	0.11752	0.344	0.73108
increase	Contaminant-Inorganic (ref)				
	Contaminant-Organic	0.28232	0.27445	1.029	0.30363
	scale(Concentration)	0.15753	0.13920	1.132	0.25779
	Intercept	-2.9810	0.2443	-12.200	<2e-16 ***
	Effect-Damage (ref)				
U vs	Effect-Defense	1.0246	0.2039	5.026	5.01e-07 ***
increase	Contaminant-Inorganic (ref)				
	Contaminant-Organic	0.9299	0.2706	3.436	0.000589 ***
	scale(Concentration)	0.3012	0.1307	2.305	0.021169 *
	Random Effects				
Pof	.~1				
Kei	Estimate	Std. Error	Approximate residual deviance:	5,384	
increase~1	1.052	0.1818	Number of Fisher scoring iterations:	7	
bell ~1	1.063	0.2159	Number of observations	Groups by references:	156
decrease ~1	1.12	0.2511		Individual observations:	2,595
U ~1	1.33e-06	1.884e- 19			
Model performance					
AIC	BIC	RMSE	Sigma		
5,415.505	5,509.287	0.357	1.444		

(D) Fixed Effects (U-shaped as referent)					
Contrast	Effect	Estimate	Std.Error	Ζ	р
	Intercept	1.0918	0.2639	4.137	3.51e-05 ***
	Effect-Damage (ref)				
hollyrall	Effect-Defense	0.3638	0.2194	1.658	0.0973
bell vs U	Contaminant-Inorganic (ref)				
	Contaminant-Organic	-0.5589	0.2840	-1.968	0.0491 *
	scale(Concentration)	0.3030	0.1297	2.337	0.0194 *
	Intercept	2.4140	0.2515	9.598	<2e-16 ***
	Effect-Damage (ref)				
decrease vs	Effect-Defense	-0.9842	0.2095	-4.698	2.63e-06 ***
U	Contaminant-Inorganic (ref)				
	Contaminant-Organic	-0.6476	0.2804	-2.310	0.0209 *
	scale(Concentration)	-0.1437	0.1395	-1.030	0.3031
	Intercept	2.9810	0.2443	12.200	<2e-16 ***
	Effect-Damage (ref)				
increase vs	Effect-Defense	-1.0246	0.2039	-5.026	5.01e-07 ***
U	Contaminant-Inorganic (ref)				
	Contaminant-Organic	-0.9299	0.2706	-3.436	0.000589 ***
	scale(Concentration)	-0.3012	0.1307	-2.305	0.021169 *
	Random Effects				
Pof	.~1				
Kei	Estimate	Std. Error	Approximate residual deviance:	5,384	
11~1	1.21e-06	1.417e-	Number of Fisher scoring	7	
01		19	iterations:	,	
hell ~1	1 063	0 2159	Number of observations	Groups by	156
ben 1	1.005	0.2155		references:	150
decrease ~1	1.12	0.2511		Individual	2.595
		0.4046		observations:	,
increase ~1	1.052	0.1818			
416	Model pe				
	BIC E FOO 207	RMSE	Sigma		
5,415.505	5,509.287	0.357	1.444		

440

442 Associated content

443

444 The detailed dataset used for the meta-analysis, the parameters used to select the random 445 variables in the models and the results of the logistic multinomial regressions on the effects of the type of biomarker, contaminant and number of doses tested on response trends within the 446 different phyla considered, as well as its PRISMA flow diagram are available in the supplementary 447 448 information section. 449 Funding 450 451 452 This research was funded by the Research Partnership Chair E2S-UPPA-TotalEnergies-Rio 453 Tinto (ANR-16-IDEX-0002). 454 **CRediT** authorship contribution statement 455 456 Simon Colas: Conceptualization; Data curation; Formal analysis; Investigation; 457 458 Methodology; Software; Validation; Visualization; Writing – original draft; Writing – review & 459 editing. Séverine Le Faucheur: Conceptualization; Data curation; Funding acquisition; Project administration; Resources; Supervision; Validation; Writing - original draft; Writing - review & 460 461 editing. 462 463 **Declaration of Competing Interest** 464 The authors declare no competing financial interest. 465 466 467

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