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► To cite this version:

Maxwell Eaton, Yuping Dai, Ziyong Wang, Bo Li, Walid Lamine, et al.. Synthesis of Allenes by Hydroalkylation of 1,3-Enynes with Ketones Enabled by Cooperative Catalysis. Journal of the American Chemical Society, 2023, 145 (39), pp.21638-21645. 10.1021/jacs.3c08151. hal-04252385

HAL Id: hal-04252385 https://univ-pau.hal.science/hal-04252385

Submitted on 2 Nov 2023

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Synthesis of Allenes by Hydroalkylation of 1,3-Enynes with Ketones Enabled by Cooperative Catalysis

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ABSTRACT: A method for the synthesis of allenes by the addition of ketones to 1,3-enynes by cooperative $Pd(0)Senphos/B(C_6F_5)_3/NR_3$ catalysis is described. A wide range of aryl and aliphatic ketones undergo addition to various 1,3-enynes in high yields at room temperature. Mechanistic investigations revealed a rate-determining outer-sphere proton transfer mechanism, which was corroborated by DFT calculations.

Introduction

Allenes are common structural motifs in natural products, bioactive small molecules, and materials.¹ They are also versatile synthetic intermediates, and many powerful transformations of allenes have been developed in recent years.²⁻⁴ Therefore, methods for efficient allene synthesis have been sought after by researchers.⁵ Of the many elegant catalytic methods, palladium-catalyzed hydrofunctionalization of conjugated enynes has emerged as an atom-economical approach for the preparation of allenes.⁶ In particular, hydroalkylation of 1,3-enynes allows allene synthesis with concomitant C-C bond formation, and some enantioselective processes have recently been developed (Scheme 1a).⁷ However, current methods require highly stabilized carbon pronucleophiles bearing multiple electron-withdrawing groups, or activated 1,3-enynes.

In general, intermolecular hydroalkylation of unsaturated hydrocarbons using relatively unactivated pronucleophiles, specifically ketones, is rare, with only a few reported examples.⁸⁻¹⁰ Since Dong's seminal work describing rhodium-catalyzed hydroalkylation of unactivated olefins with ketones (Scheme 1b),¹¹ the hydroalkylation of dienes (Scheme 1c)¹² and alkynes (Scheme 1d)¹³ with ketones have also been developed.^{14,} However, due to the

lower reactivity of a ketone's α -C-H bond compared to more activated methylene compounds (e.g., malonates), ¹⁵ these methods typically require elevated temperatures. Additionally, there is no reported protocol for the hydroalkylation of 1,3-enynes with simple ketones. Given the synthetic utility of the ketone functionality and its prevalence in natural products and bioactive compounds,¹⁶ a method allowing the mild, direct allenylation of ketones would represent a useful approach for building molecular complexity and latestage functionalization of ketone-containing molecules. Here, we describe the development of a mild and general method for the hydroalkylation of 1,3-enynes with ketones via Pd(0)Senphos/B(C₆F₅)₃/amine base cooperative catalysis. The protocol is atom economical (no stoichiometric additives) and tolerates a wide range of both enynes and ketones to afford allenes in high yield after only a few hours at room temperature in most cases (Scheme 1e).





Results and Discussion

Recently our group has reported a series of borofunctionalizations of 1,3-enynes using palladium(0) supported by our Senphos ligands.¹⁷ These reactions are hypothesized to proceed through an outer-sphere oxidative addition pathway involving activation of a palladium(0)-bound enyne by an electrophilic boron reagent.¹⁸ We also recently developed a hydroalkynylation reaction utilizing cooperative Pd(0)Senphos/B(C₆F₅)₃/NR₃ catalysis.¹⁹ We therefore envisioned that a dual catalytic system of palladium(0) and B(C₆F₅)₃/NR₃ could activate both the 1,3-enyne and the ketone simultaneously to promote addition of the ketone α -C-H across the enyne.²⁰

Table 1. Survey of Ligands^a



^aSee Supporting Information for detailed procedures. ^bYields were determined by ¹H NMR analysis using 1,3,5-trimethoxybenzene as an internal standard.

To evaluate the feasibility of the proposed transformation, acetophenone **1a** and enyne **2a** were used as model substrates (Table 1). In the presence of 2.5 mol% (1,5-cyclooctadiene)bis(trimethylsilylmethyl)palladium(II), [(COD)Pd(CH₂TMS)₂], 3.0 mol% **L11**, 10 mol% tris(pentafluorophenyl)borane [B(C₆F₅)₃], and 10 mol% 1,2,2,6,6-pentamethylpiperidine (PMP) in toluene, the desired allene product **3a** was formed in 92% yield after 1 hour at room temperature (entry 11). The use of bisphosphines (**L1-L4**) resulted in no observed product formation, and trialkyl phosphines (**L5-L6**) only gave either trace or no product. The commercially available monophosphine MOP (**L7**) also afforded trace product. Modifications to the Senphos ligand's lower aryl fragment (**L8-L9**) led to lower product yields. Use of the structurally related, commercially available MePhos (**L10**) resulted in only moderate yield of the product, underscoring the importance of the unique electronic structure conferred by the 1,4-azaborine ring.²¹ No product formation was observed with any of the other Lewis acids tested, and other solvents examined resulted in diminished yields (see the Supporting Information, Table S1).

With the optimized conditions in hand, we next explored the substrate scope with respect to the ketone (Table 2). A wide range of substituted acetophenones (aryl ethers, amines, and halides) are tolerated under the reaction conditions (entries **3a-3g**). Larger naphthyl ketones (entry **3h**), secondary aryl ketones (entry **3i**), *ortho*- substituted aryl ketones (entry **3j**), and heteroaryl ketones (entries **3k-3m**) also react efficiently. Acyclic (entry **3n**) and cyclic aliphatic ketones (entries **3o-3q**) are also accepted. Notably, an enone (entry **3r**) also serves as a suitable substrate, albeit furnishing the product with diminished yield. The observed diastereomeric ratios (d.r.) with secondary ketone substrates are generally low. On the other hand, with unsymmetrical aliphatic ketones (entries **1s** and **1t**), the observed regiomeric ratio (r.r.) is moderate to excellent with the more substituted α -C-H undergoing addition to the enyne preferentially. This is likely due to preferential formation of the thermodynamically more stable enolate under the reaction conditions. More complex biologically active ketones (e.g., entry **1u**) also undergo efficient conversion to the corresponding allenes, demonstrating the potential of the method for late-stage functionalization.

Table 2. Reaction Scope of Ketones^{a,b}



^a Yields of isolated products are reported as an average of two trials. ^bSee Supporting Information for details. ^c48 hr reaction time. ^d 6:1 CH₂Cl₂: toluene used as solvent.

We next investigated the scope with respect to the 1,3-enyne. As can be seen from Table 3, a range of *para-* and *ortho-*substituted aryl enynes are well-tolerated (entries **4a-4d**). Alkyl enynes (e.g., entry **4f**) are also suitable substrates along with 1,3-disubstituted enynes (entries **4e** and **4g**). A 1,4-disubstituted enyne (entry **4h**) and a 1,2,4-trisubstituted enyne (entry **4i**) also furnish the corresponding coupling products although in only moderate yield. Various acid-sensitive functional groups such as silyl ethers, esters, protected amines, and alkyl halides are compatible with the reaction protocol (entries **4j-4m**).

Table 3. Reaction Scope of 1,3-Enynes^{a,b}



^a Yields of isolated products are reported as an average of two trials. ^bSee Supporting Information for details. ^cReaction carried out at 45 ^oC for 18 hours. ^dReaction carried out at 50 ^oC for 48 hours.

The process is also amenable to gram-scale procedure, with compound **3n** being produced on 7.5 mmol scale in 80% yield using 1.0 mol% of the palladium catalyst (Scheme 2). Compound **3n** serves as a useful intermediate for a variety of derivatizations. TiCl₄mediated methylation²² affords tertiary alcohol **5**, which can further undergo Au-catalyzed intramolecular hydroalkoxylation to yield furan derivative **6** in high yield and diastereoselectivity.²³ Cu-mediated intramolecular reductive coupling produces cyclohexenol **7** in good yield and excellent diastereoselectivity.²⁴ Cu-catalyzed borylation²⁵ affords cyclic trisubstituted alkenyl-Bpin **8**, which can then undergo Suzuki-Miyaura coupling to furnish densely functionalized cyclohexenol **9** in high yield.



To elucidate the reaction mechanism, we carried out a series of mechanistic studies. First, we sought to identify the ³¹P NMR signals of some likely catalytic intermediates. Free ligand **L11** exhibits a signal at -2.8 ppm (Scheme 3a). A 1:1 mixture of the palladium precursor (COD)Pd(CH₂TMS)₂, **L11**, and excess enyne **2a** in toluene results in a broad resonance at 42.4 ppm, which we assign as the enyne-bound palladium complex **B** (Scheme 3b). Outer-sphere oxidative addition adduct **10** was independently isolated and fully characterized by NMR spectroscopy and X-ray crystallography (See Supporting Information for details) and features a sharp signal at 48.8 ppm (Scheme 3c). When the catalytic reaction under standard conditions was analyzed by ³¹P NMR, only a single resonance at 48.6 ppm was observed (Scheme 3d), consistent with complex **10** being the resting state of the Pd catalyst.

Scheme 3. Pd Catalyst Resting State Determination by ³¹P NMR



Scheme 4. B(C₆F₅)₃ Catalyst Resting State Determination by ¹¹B NMR



We next evaluated the resting state(s) of the boron catalyst by ¹¹B NMR. A combination of the palladium precursor, **L11**, $B(C_6F_5)_3$, and excess enyne produces a sharp resonance

at -11.0 ppm, originating from the tetracoordinate boron of complex **10** (Scheme 4a). The free B(C₆F₅)₃ appears as a broad signal at 60 ppm. Addition of excess ketone results in an additional broad signal at 2.3 ppm, consistent with the acetophenone-B(C₆F₅)₃ Lewis pair **1a-BCF** (Scheme 4b).²⁶ Upon addition of PMP base (simulating the standard reaction conditions), the signal at 2.3 ppm shifts upfield to -3.0 ppm, which is consistent with an anionic, tetracoordinate boron species.²⁷ Thus, we assign the signal at -3.0 ppm as the ammonium O-boron enolate **1a-NH**.

Independent kinetic isotope effect (KIE) measurements were carried out using initial rates kinetics to probe the nature of the rate-determining step. A relatively large primary KIE of $k_H/k_D = 4.5 \pm 0.2$ was measured for the reaction of **1a** *vs* **1a-ds** in toluene-d₈(Scheme 5a), consistent with the rate-determining transition state involving X-H bond cleavage. Additionally, the kinetic order of each reactant and catalyst was experimentally determined via reaction progress kinetic analysis (RPKA)²⁸ (See Supporting Information for details) using ketone **1a** and 1,3-enyne **2a** as model substrates. Different-excess experiments revealed a zero-order dependence of the reaction rate on both substrates (**1a** and **2a**), and a first order dependence on the total palladium catalyst concentration [Pd/L]₀. The reaction orders with respect to the total concentrations of Lewis acid catalyst [B(C₆F₅)₃]₀, and amine base catalyst [PMP]₀ depend on their relative catalyst loadings. When the Lewis acid is in excess of the base, the reaction is inverse first order in [B(C₆F₅)₃]₀, and first order in [PMP]₀. When the base is in excess of the Lewis acid, the reaction is zero order in [B(C₆F₅)₃]₀ and zero order in [PMP]₀ (Scheme 5b).

Scheme 5. Kinetic Isotope Effect, Rate Law, and Proposed Mechanism



A proposed catalytic cycle is illustrated in Scheme 5c. In the presence of L11, the palladium precursor undergoes reductive elimination and loss of its COD ligand to form Pd(0)/Senphos complex **A**, which binds enyne **2a** to form **B**. Intermediate **B** exists in equilibrium with the off-cycle complex **10** which we have identified as the resting state of the Pd catalyst. For productive catalysis to occur, **10** must dissociate $B(C_6F_5)_3$ to reform **B**, which undergoes a rate-determining outer-sphere protonation^{7a,29,30} of the palladium-bound enyne with ammonium enolate **1a-NH** to generate **C**. Intermediate **C** is then attacked by the boron enolate to generate the product **3a** and regenerate the palladium catalyst **A** and the $B(C_6F_5)_3$ catalyst.

This cooperative catalytic system can be broken down into two catalytic cycles: 1) Pdbased cycle acting on the enyne substrate (**2a**), and 2) $B(C_6F_5)_3/PMP$ -based "cycle" acting on the ketone substrate (**1a**). Each catalyst is saturated with its respective substrate (i.e., Pd catalyst is completely saturated with the 1,3-enyne substrate and the $B(C_6F_5)_3/PMP$ catalysts are saturated with the ketone substrate to a large extent, see Schemes 3-4) in both the resting state and the rate-limiting transition state, consistent with the observed zeroorder kinetics with respect to both ketone (**1a**) and enyne (**2a**) substrates. The rate-determining step involves a proton transfer which is consistent with the observed primary kinetic isotope effect. When excess $B(C_6F_5)_3$ is present relative to the Pd/Senphos catalyst (standard conditions), the catalysis is inhibited due to formation of the catalytically inactive **10**, leading to inverse-first order contribution of $B(C_6F_5)_3$ to the rate-expression for product formation for the Pd-based cycle. A simplified approximated product-forming rate expression based on limiting parameters (e.g., equilibria from experimental data) is:

 $d[\mathbf{3a}]/dt \sim k_{obs} [\mathbf{2a}]^0 [Pd/L]_0^1 [B(C_6F_5)_3]_0^{-1} [\mathbf{1a}-\mathbf{NH}]^1$ (1)

The second B(C₆F₅)₃/PMP cycle contributes to the [**1a-NH**]¹ term of the "main" rate expression (eq (1)). In this B(C₆F₅)₃/PMP cycle, when one catalyst is in excess of the other, the catalyst in excess will also experience "saturation" with respect to the limiting catalyst and no longer "contributes" (i.e., zero-order kinetics) to the formation of the ammonium enolate [**1a-NH**].³¹ Thus, when [B(C₆F₅)₃]₀ > [PMP]₀, it follows that [**1a-NH**] ~ [PMP]₀¹ [**1a**]⁰, resulting in the overall expression:

 $d[\mathbf{3a}]/dt \sim k_{obs} [\mathbf{1a}]^0 [\mathbf{2a}]^0 [Pd/L]_0^1 [B(C_6F_5)_3]_0^{-1} [PMP]_0^1$ (2)

On the other hand, when $[B(C_6F_5)_3]_0 < [PMP]_0$, it follows that $[1a-NH] \sim [B(C_6F_5)_3]_0^1$ $[1a]^0$, resulting in the overall expression:

 $d[\mathbf{3a}]/dt \sim k_{obs} [\mathbf{1a}]^0 [\mathbf{2a}]^0 [Pd/L]_0^1 [B(C_6F_5)_3]_0^0 [PMP]_0^0$ (3)

The above simplified kinetic model (eqs (1)-(3)) is consistent with our observed co-catalyst concentration dependent rate laws as shown in Scheme 5b. Thus, our proposed mechanism, including the assignment of the resting states and rate-determining step, is consistent with all the experimentally observed spectroscopic and kinetic data.

Density Functional Theory (DFT) calculations were carried out at SMD³²(Toluene)- ω B97X-D³³/SDD+f(Pd)³⁴, 6-31+G**(other atoms)//SMD(Toluene)- ω B97X-D/SDD

+f(Pd),6-31G**(other atoms) level of theory (see Supporting Information for computational details) to additionally probe the mechanism of the Pd(0)Senphos/B(C₆F₅)₃/PMPcatalyzed hydroalkylation of enynes. We computationally considered three mechanistic scenarios (See Supporting Information, Scheme S1): (1) Outer-sphere protonation pathway (i.e., mechanism illustrated in Scheme 5c), (2) Protodeboronation pathway where the resting state species **10** is protonated with PMP-H⁺ with concomitant release of B(C₆F₅)₃ to form **C**,³⁵ (3) Pd(II)–H pathway where the PMP-H⁺ oxidatively adds to Pd(0) species **A** to form a Pd(II)-H intermediate that then subsequently undergoes β-migratory insertion^{14b,36} into the 1,3-enyne to furnish the *syn*-diastereomer of the π-allyl intermediate **C** (i.e., H and Pd are oriented *syn* to each other).

DFT calculations predict that the Pd(0)/Senphos complex **A** coordinates to the C=C double bond of the 1,3-enyne to form the π -complex **B** in an exergonic fashion (Figure 1). We considered two possible isomers for the π -complex (See Supporting Information, Figures S1 and S2): **B** (alkene is *cis* to the P atom of the Senphos ligand) and **B'** (alkene is *trans* to the P atom of the Senphos ligand). Structure **B** has been found to be more stable (by > 1.3 kcal/mol) than **B'** throughout the reaction coordinate. This predicted orientational preference is consistent with the obtained crystal structure for the resting state complex **10** (Scheme 3c). The π -complex **B** kinetically prefers ($\Delta G^{\ddagger}_{B-10} = 9.6$ kcal/mol) to undergo an outer-sphere oxidative addition with B(C₆F₅)₃ to furnish complex **10** via an early transition state **TS**_{B-10} (B...C_{α}: 2.493 Å and ΣB_{2} : 352.45°). Intermediate **10** is the computationally predicted resting state of the Pd catalyst, which agrees with the experimentally observed data (spectroscopic evidence, see Scheme 3; kinetic evidence, see Scheme 5b). The optimized structure of the Pd- π -allyl intermediate **10** (Pd–C_{β}, Pd–C_{γ}, Pd–C_{δ}: 2.346, 2.182 and 2.088 Å, respectively; B–C_{α}: 1.702 Å) reproduces the obtained X-ray crystallographic data.



Figure 1. Energy profile (ΔG in kcal/mol) computed at SMD(Toluene)- $\omega B97X$ -D/SDD+f(Pd), 6-31G+**(other atoms)// SMD(Toluene)- $\omega B97X$ -D/SDD+f(Pd), 6-31G**(other atoms) level of theory for the proposed outer-sphere protonation mechanism where the protonated amine base PMP-H⁺ oxidatively activates the Pd-enyne complex **B**. For the energy profiles of alternative mechanisms, see Supporting Information.

Complex **10** can readily dissociate $B(C_6F_5)_3$ to reform π -complex **B** ($\Delta G^{\ddagger}_{10 \rightarrow TSB-10} = 17.5$ kcal/mol), which can then be protonated by PMP-H⁺ (i.e., the proton source component of the ion pair **1a-NH**, N–H: 1.025 Å) with a barrier $\Delta G^{\ddagger}_{B-C} = 13.0$ kcal/mol to form the alkylidene- π -allylpalladium intermediate **C** (C_{α} –H: 1.091 Å; Pd– C_{β} , Pd– C_{γ} , Pd– C_{δ} : 2.144, 2.154 and 2.166 Å, respectively). In the transition state **TS**_{B-C}, the C_{α}...H and N...H bonds are 1.425 and 1.292 Å, respectively, and the $\angle C_{\alpha}$ HN = 175° bond angle is almost linear. In contrast to the structure of intermediate **C**, the allyl moiety in **TS**_{B-C} is non-symmetrically coordinated to Pd (Pd– C_{β} , Pd– C_{γ} , Pd– C_{δ} : 2.527, 2.201 and 2.076 Å, respectively), which is consistent with the Pd mostly maintaining an η^2 coordination with the alkene. From **C**, a nucleophilic attack by the **BCF-enolate** (i.e., the boron enolate component of the ion pair **1a-NH**) occurs with a $\Delta\Delta G^{\ddagger} = 5.8$ kcal/mol kinetic preference toward the C_{δ} position over the C_{β} position to generate the allene product **D**_{δ}. Product **3a** is then released

to start a new catalytic cycle. The nucleophilic attack is relatively facile with a predicted barrier of $\Delta G^{\ddagger}_{C \rightarrow TSC-D\delta} = 12.0$ kcal/mol. The calculated overall rate-limiting barrier $\Delta G^{\ddagger}_{10 \rightarrow TSB-C}$ of 20.9 kcal/mol, the predicted k_H/k_D(DFT) = 6.1, and the off-cycle resting state **10** for the proposed outer-sphere protonation pathway are consistent with experimental observations.

For mechanistic scenario (2): the protodeboronation pathway (see Supporting Information, Figure S3), DFT calculations show that the protodeboronation process occurs in 2 steps: i) a proton transfer from PMP-H⁺ to C_{β} carbon of complex **10**, which is followed by ii) a [1,2]-H(hydride) shift from C_{β} to C_{α} position with concomitant release of B(C₆F₅)₃ to furnish **C**. The predicted rate-limiting barrier for this pathway is $\Delta G^{\ddagger} = 48.1$ kcal/mol, which is inconsistent with a room-temperature reaction.

For mechanistic scenario (3): the Pd(II)–H pathway (see Supporting Information, Figure S4), we computed the energy profile involving direct protonation of Pd(0)/Senphos complex **A** by PMP-H⁺ followed by Pd-H β -migratory insertion into the triple bond of the 1,3-enyne. The direct protonation of Pd complex **A** is predicted to be energetically costly, with a rate-limiting barrier of ΔG^{\ddagger} = 52.7 kcal/mol (from the resting state **10**), which is also inconsistent with a room-temperature reaction.

Conclusions

We have developed a mild, general method for hydroalkylation of 1,3-enynes with ketones to generate allenes. A broad range of aryl and alkyl ketones could be coupled with 1,3-enynes to provide synthetically useful β -allenyl ketones in high yield. To our knowledge, this work represents a rare example of room-temperature metal-catalyzed addition of a ketone α -C-H bond to an unsaturated hydrocarbon. Mechanistic studies reveal that the outer-sphere oxidative addition adduct **10** is an off-cycle resting state during catalysis. The rate-determining step involves a proton transfer with an accessible activation barrier computed at 20.9 kcal/mol. DFT calculations are in agreement with experimental kinetics and spectroscopic observations. The body of our mechanistic investigations points toward a multifaceted and intricate behavior underlying the Pd/Senphos-catalyzed activation of 1,3-enynes, and we hope this work will serve as inspiration for the further development of mild and general catalytic systems which activate $C(sp_3)$ -H bonds for addition to C-C π -bonds.

ASSOCIATED CONTENT

The Supporting Information is available free of charge at https://pubs.acs.org. Experimental procedures, compound characterization data, computational and crystallographic information (PDF) Optimized Cartesian coordinates (XYZ)

Accession Codes

CCDC 2278579 and 2278580 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Author Contributions

M. E. performed the experimental synthetic and mechanistic work guided by S.-Y. L. Y. D. performed the computational work guided by K. M. Z. W. discovered the title reaction and performed preliminary reaction optimization. B. L. solved the X-ray structures. W. L. provided initial computational analysis. The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

Notes

The authors declare no competing financial interests.

ACKNOWLEDGMENT

This work is dedicated to Prof. Gregory C. Fu on the occasion of his 60th birthday. Research reported in this publication was supported by the National Institute of General Medical Sciences of the National Institutes of Health (NIGMS) under Award Number R01GM136920, the Excellence Initiative of Université de Pau et des Pays de l'Adour I-Site E2S UPPA, and by Boston College start-up funds. We also acknowledge the NIH-S10 (award: 1S10OD026910-01A1) and the NSF-MRI (award: CHE-2117246) for the support of Boston College's NMR facilities. Part of this work was granted access to the HPC resources of [CCRT/CINES/IDRIS] under the allocation 2022 [AD010800045R1] made by GENCI (Grand Equipement National de Calcul Intensif) and Mésocentre de Calcul Intensif Aquitain (MCIA). The "Direction du Numérique" of UPPA is also acknowledged for supporting computational facilities. Z.W. was supported as a LaMattina Graduate Fellow in Chemical Synthesis. Y. D. and W. L were funded as a Ph.D. and postdoctoral fellow, respectively, by I-Site E2S-UPPA.

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