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Phenomenological Studies for Optimizing Subsonic Underwater Discharges

Yoan Bacqueyrisses¹, Thierry Reess¹, Antoine Silvestre de Ferron¹, Viviane Tchalla,
and Bucur M. Novac², *Senior Member, IEEE*

Abstract—Powerful subsonic underwater discharges are used as a tool in various industrial applications. The aim of this article is to find the essential design parameters required for a pulsed-power system to efficiently drive such discharges. Using different capacitor banks and electrode geometries, a large number of studies were conducted in an effort to better understand the phenomenology of the discharge. Without developing a numerical model for the underwater plasma discharge, the phenomenological studies clearly demonstrated that the maximum pressure that can be generated depends on the discharge current, the distance between the electrodes, and the characteristic time of the discharge. The results of this work will allow the design of efficient pulsed-power-driven strong pressure impulse systems.

Index Terms—Pulsed-power, pulsed pressure, underwater discharges.

I. INTRODUCTION

HIGH-VOLTAGE, high-power underwater electric discharges have been studied for several decades. Two main regimes are known for such discharges: subsonic, where an oscillating bubble is created, and supersonic, a streamer-based phenomenon (see [1] for more details about the two different regimes). The present article deals only with high-energy, high-power subsonic techniques that use a relatively slow (tens of microseconds) application of a high voltage across a pair of electrodes immersed in water, resulting in a Joule-heated creation of a gas bubble through which electrical breakdown occurs.

A large number of important industrial applications of subsonic high-energy, high-power discharge techniques are well-known [2]–[22], such as underwater welding and electro-hydraulic forming, shockwave generation for industrial sludge treatment, demolition, removal of foreign deposits from pipe walls, material fragmentation, separation, reduction and recycling, and finally ore separation and rock fracturing for mining

applications such as blasting and drilling. Some of these applications are discussed in a book fully dedicated to pulsed electric breakdown of liquid phenomena [23].

Neglecting any physical phenomena related to fluid physics, such as the bubble dynamics, the articles related to the main parameters affecting the generation of peak pressure by a given pulsed-power generator are not numerous. Some works, such as those reported in [20] and [24], studied the influence of the electrical Joule energy deposited in the plasma discharge on the peak pressure using three different generators. An important finding is highlighted: the parameter “energy” is not sufficient to characterize the value of the pressure. Further studies reported in [4] and [25] proved that indeed different peak pressures can be produced with a generator, even when the same electrical energy is used. The electrical circuit used in [25] had a “bypass branch” implemented, which could be activated at any predetermined time, to stop the main current flowing through the plasma discharge. Interestingly, the results reported show that as the plasma discharge is stopped at times approaching the moment the main current reaches its (first) peak, the generated peak pressure increases. However, when the current is stopped after reaching its (first) peak, the generated peak pressure does not change much. Therefore, the results suggest a relationship between the (first) peak current and the peak pressure. The authors have not tried, however, to highlight this finding.

Other parameters affecting the peak pressure were also studied, such as the measurement distance (in [13] and [26]), the inter-electrode gap (in [20] and [26]), and the electrode polarity (in [22] and [28]).

Recently, a research program was initiated at the Université de Pau et des Pays de l’Adour, Pau, France, for applying high-energy, high-power underwater discharge techniques for industrial rock fragmentation. It is obvious that the overview of the existing (open) literature presented above does not provide all the required information on how to design the required pulsed-power source. Therefore, the main aim of the present study is, without developing a detailed numerical simulation of the dynamics of plasma discharge, to clearly define the design parameters of a pulsed-power source affecting the generation of strong pulsed pressure waves.

The article first presents a straightforward phenomenological analysis, based on a few hypotheses. Second, the experimental arrangements used during the studies, together with the corresponding diagnostics, are introduced. Third, the results of a few preliminary studies are shown to help narrowing the

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investigation domain. Fourth, the results obtained in a large number of experiments are used to validate the hypotheses on which the phenomenological model is constructed. Finally, the conclusions present the principal parameters to be observed in the design of an optimized source of strong pressure pulses driven by pulsed-power technology.

II. PHENOMENOLOGICAL MODEL

A. Electrical Power and Energy

The instantaneous electrical power P_e absorbed by an underwater spark discharge can be expressed as

$$P_e(t) = I(t)^2 R_{SD}(t) = V_{SD}(t) I(t) \quad (1)$$

where I is the current flowing through the circuit and R_{SD} is the time-dependent resistance of the underwater plasma discharge. In practice, however, the voltage measured across the underwater electrodes V_{SD} will always contain both the resistive and inductive terms

$$V_{SD}(t) = L_{SD} \frac{dI}{dt} + R_{SD} I \quad (2)$$

where L_{SD} is the overall underwater spark self-inductance, including connectors. It is therefore more practical to avoid using the results from a voltage measurement and calculate the power using only current and resistance. The time-dependent resistance R_{SD} is obviously related to the detailed phenomena of heating and vaporization, i.e., bubble formation and dynamics, and can only be accurately calculated using a numerical code using magneto-hydrodynamic equations, together with an equation of state and the conductivity variation with pressure and temperature. According to literature, for example [29], the resistance of any spark gap R_{SG} has the form

$$R_{SG}(t) = B \frac{d}{\sqrt{\int_0^t I(t)^2 dt}} \quad (3)$$

where B is a constant and d is the inter-electrode gap. According to [30], the change in an underwater spark resistance from an extremely high value, corresponding to the initial pre-breakdown phase, down to the low value of the heated plasma channel is extremely fast, well under $1 \mu s$. Therefore, for all practical considerations related to slow time-varying currents like those generated in the present subsonic discharges with a characteristic discharge time of many microseconds, the underwater spark resistance can be considered as a constant [31]. Using this (first) hypothesis, the resistance of the underwater spark (plasma) discharge R_{SD} will be simply considered as

$$R_{SD} = \frac{d}{\sigma \pi a^2} \quad (4)$$

where σ is the plasma conductivity and a is the plasma channel radius. Consequently, the instantaneous electrical power is

$$P_e(t) = I(t)^2 \frac{d}{\sigma \pi a^2} \quad (5)$$

while the total (constant) Joule energy absorbed during a shot, W_e , is given by the power integral

$$W_e = \frac{d}{\sigma \pi a^2} \int_0^{t_e} I(t)^2 dt \quad (6)$$

where t_e represents the time at which the electric current is negligibly small, i.e., the end of the discharge.

B. Acoustical Power and Energy

For an acoustical (mechanical) process, the instantaneous power P_a is given by

$$P_a(t) = F(t)v(t) = p(t)v(t)S \quad (7)$$

where F is a time-varying force, v is the particle (material) time-varying velocity, and S is the constant area over which the time-varying pressure p is acting. For an acoustic wave traveling through a fluid, the particle velocity is given by [32]

$$v(t) = \frac{p(t)}{\rho_m c} \quad (8)$$

where ρ_m is the constant mass density of the fluid and c is the constant speed of sound in that fluid. Introducing (8) into (7)

$$P_a(t) = p^2(t) \frac{S}{\rho_m c}. \quad (9)$$

For a spherical acoustic pressure wave arriving at a distance r , the instantaneous power takes the form

$$P_a(t) = p^2(t) \frac{4\pi r^2}{\rho_m c}. \quad (10)$$

Equation (10), which only applies to a spherical divergent acoustic wave, is very well-known and contains the product $p^2(t)r^2$. In case the spherical wave propagates without losses, the pressure p varies with the distance from the source r according to the law $p \sim 1/r$. Therefore, the term $p^2(t)r^2$ is actually a constant. The authors have checked that indeed for distances up to about 1 m away from the acoustic sources used during the experimental studies presented in this work, the losses are so small that they are undetectable. The total (constant) acoustic energy generated during a shot, W_a , is given by the power integral

$$W_a = \frac{4\pi r^2}{\rho_m c} \int_0^{t_a} p^2(t) dt \quad (11)$$

where t_a represents the time at which the pressure impulse is negligibly small. In all that follows, the distance r is a time constant and represents the distance between the spark gap (i.e., the acoustic source) and the hydrophone used to measure the pressure impulse $p(t)$.

C. Factors Affecting the Generation of Peak Pressure

During a shot, due to complex processes, a part of the total Joule energy is transformed into acoustical (mechanical) energy. As usual, an overall energy efficiency η for this process can be defined as

$$\eta = \frac{W_a}{W_e}. \quad (12)$$

In our quest for the main parameters that influence the generation of the peak pressure during a shot, it is, however, more useful to introduce an *ad hoc* instantaneous peak power efficiency as

$$\eta_{\text{peak}} = \frac{P_a^{\text{peak}}}{P_e^{\text{peak}}} \quad (13)$$

The tank is filled with freshwater with a conductivity of about 250 $\mu\text{S}/\text{cm}$ and the experiments are performed at atmospheric pressure and at a temperature between 18 °C and 20 °C.

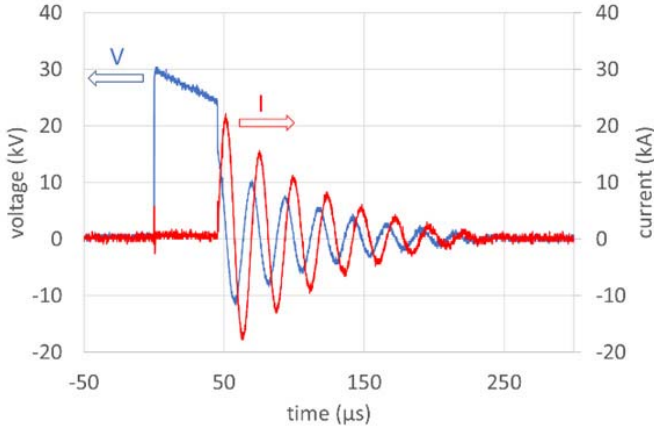


Fig. 2. Typical voltage and current waveforms recorded during a shot using generator No.2, with the capacitor initially charged to $V_0 = 30$ kV and with the distance between the electrodes $d = 4.8$ mm. The breakdown takes place at $t_b = 45$ μ s, when the voltage is only $V_b = 23.5$ kV.

IV. PRELIMINARY STUDIES

A. Typical Electric Data: Proving the First Hypothesis

The typical current and voltage waveforms obtained during a shot with one of the generators are presented in Fig. 2. One can clearly distinguish the two phases: pre-discharge and discharge. During the pre-discharge phase, the current is very low (it cannot be observed in Fig. 2) and the voltage exponentially decays from an initial value V_0 following an $(R + R_w)$ C-type law, where R_w is the pre-breakdown resistance of the underwater circuit, with very low-value currents flowing throughout the whole water tank. The breakdown takes place at a time t_b at a breakdown voltage $V_b < V_0$. The discharge follows a sinusoidal damped shape, and it is important to note that the time dependence of the experimental current $I(t)$ can be accurately simulated with a law:

$$I(t) = V_b \sqrt{\frac{C}{L}} e^{-\frac{R+R_{SD}}{2L}t} \sin\left(\frac{2\pi t}{T}\right) \quad (18)$$

where the term $(R + R_{SD})$ is a time constant (see Fig. 3). It naturally follows that the first hypothesis is true: the variation in the plasma discharge resistance takes place very fast indeed (in a few hundreds of ns), it is undetectable in Fig. 3, and therefore for all practical considerations related to the slow time-varying discharge currents generated during the present tests and for which the phenomenological model is constructed, the term R_{SD} will be considered as a time constant. In (18), as the circuit self-inductance L is of the order of 4 μ H, the very small self-inductance (of the order of a few nH) of the inter-electrode plasma L_{SD} can be neglected, i.e., as $L \gg L_{SD}$ it follows that $L + L_{SD} \approx L$.

B. Typical Pressure Data

A typical recording of the pressure wave, corresponding to the electric data of Fig. 2, is presented in Fig. 4. The first fast-rising peak represents the direct incident pressure wave, having a peak $p_{\text{peak}} \approx 1.3$ MPa (13 bar). The latter pressure waveform oscillations are due to reflections from the tank's walls.

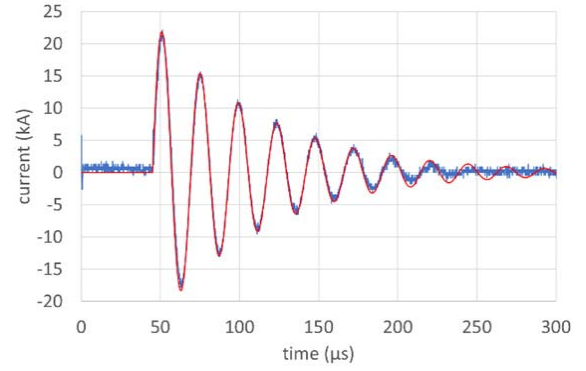


Fig. 3. Comparison between the experimental current waveform of Fig. 2 and the current calculated with (18), with $R_{SD} = 10$ m Ω . As for obtaining a perfect fit (the theoretical prediction and the experimental data are indistinguishable), the term R_{SD} is not required to vary during the discharge, and the first hypothesis of the model is thus proven.

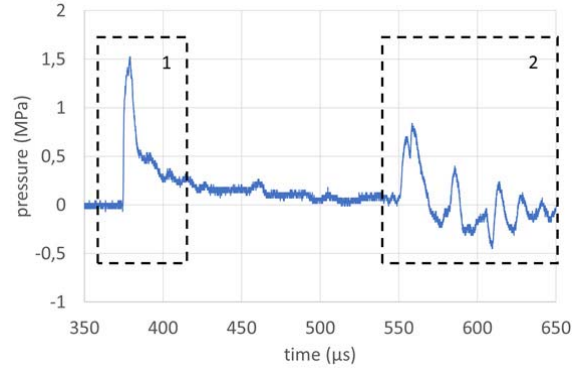


Fig. 4. Typical pressure waveforms recorded by the W138A11 hydrophone during the shot corresponding to the electric data in Fig. 2. The time origin corresponds to the beginning of the discharge in Fig. 2. The highlighted parts correspond to: 1. the incident pressure wave and 2. the pressure due to reflections from the tank's walls.

TABLE II

END ELECTRODE RADII FOR THE PAIRS OF ELECTRODES USED IN TESTS

Electrode shape for the pair:	rod-rod (a)	point-point (b)	bulbous-bulbous (c)	point-sphere (d)
Electrode end radii (mm)				
HV	10.0	2.5	2.5	2.5
Ground	10.0	2.5	2.5	100.0

C. Influence of Electrode Shape

A preliminary study was undertaken to investigate the influence of the electrode end shape. In performing this study, various pairs of electrodes were used, having different end radii as detailed in Table II. The “rod” represents a cylindrical electrode with a hemispherical end, while the “point” has a conical end shape. The “bulbous” electrode presented in Fig. 5 was designed with the idea of reducing the chances of a random electric breakdown along the electrodes, while maximizing the chances of a breakdown between the two electrodes' end. The real electrodes are presented in Fig. 6.

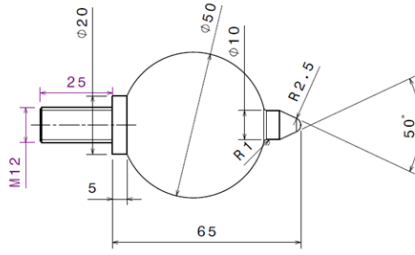


Fig. 5. Drawing of the “bulbous” electrode in Table II.

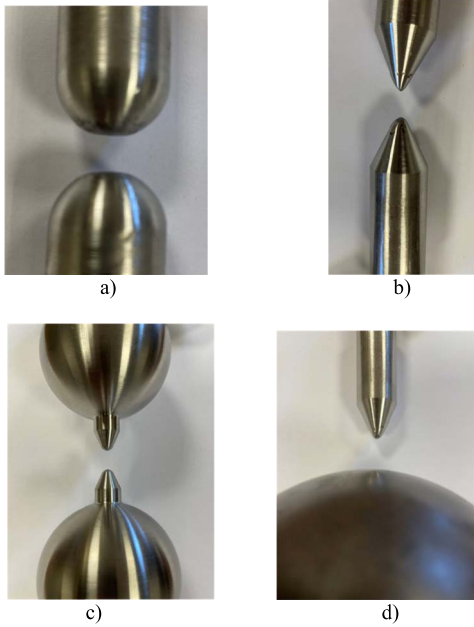


Fig. 6. Photographs of the various electrode pairs used in the preliminary studies. (a), (b), (c) and (d) correspond to the pairs (a), (b), (c) and (d) described in Table II.

The results from electrostatic simulations using the CST software [37], as presented in Fig. 7, show the very different electric field distributions generated in the inter-electrode gap by the various pairs of electrodes detailed in Table II. It was thought that these differences may influence the electrical breakdown. The tests used generator No.2, with a fixed 5-mm inter-electrode gap and with the pressure measured using the W138A11 hydrophone mounted at a distance 495 mm from the electrodes. All pairs of electrodes in Table II were tested with basically the same result: the data in Fig. 8 clearly demonstrate that unexpectedly, the electrode shape has no influence on the peak pressure generated.

D. Further Efforts to Reduce the Variation in Peak Pressure Data Obtained From “Identical” Tests

As discussed above, the spark plasma resistance and therefore the constant k may vary, depending on the particular 3-D plasma shape generated during a shot. It is therefore expected that results may somewhat vary, even when shots are performed under “identical” initial conditions. For example, in [38] the authors demonstrated that the electrical arc length

could randomly develop along a path up to three times the inter-electrode gap. This is what was indeed observed in the present work: the peak pressures generated by “identical” shots showed an important variation. Efforts were therefore made to have identical initial conditions before each shot, such as renewing the water between the electrodes, waiting between two consecutive shots for the temperature to be stabilized, and removing any air bubbles. Further efforts consisted in using the various end electrode geometries presented in Table II to better control the area where intense pulsed electric fields (PEFs) are generated. Unfortunately, with all these efforts the results (as presented in Fig. 8) did not show any improvement, i.e., the peak pressure data generated under “identical” shots continued to be spread. In what follows, all the results presented suffer from this unwanted phenomenon.

E. Influence of HV Polarity

It was anticipated that the polarity of the HV electrode may have an influence on the peak pressure generated. To investigate, experiments were performed with the capacitor of generator No.2 charged either positive or negative. For these tests, the point-sphere geometry was chosen (see Table II, pair *d*), as generating a highly inhomogeneous electric field distribution (see Fig. 7, *d*). The inter-electrode gap was set at $d = 6.8$ mm and the hydrophone mounted at a distance $r = 495$ mm. Fig. 9 shows that for the same peak current, a slightly higher peak pressure is generated by a negatively charged electrode. So, we can conclude that the polarity does not have a major influence on the pressure generated.

Even if the negative polarity shows a slightly larger pressure, in what follows, for technical reasons, the experiments used only positively charged HV electrodes.

V. STUDIES FOR EXPERIMENTALLY PROVING (17)

For convenience, for the studies related to the variation in the peak pressure with r , d , and I_{peak} , only generator No.2 (see Table I) was used. For the studies related to the influence of t_{char} , all the generators detailed in Table I were used.

Because the electrode shape has no influence on the peak pressure generated and to further simplify the studies and to minimize the unavoidable electrode erosion, in all that follows only the pair of rod electrodes (a) was used.

According to literature (e.g., see [39]), the far-field begins at a distance estimated as $(2D^2 f/c)$, where D is the overall dimension of the source and f is the detected acoustic frequency. The hydrophone W138A11 has a bandwidth limited to about $f = 250$ kHz and the source dimension is estimated to be equal to the inter-electrode distance d (i.e., $D = d$). Therefore, for the largest value of $d = 7.3$ mm, the corresponding estimated far-field region begins at 34-mm distance. In all cases, the hydrophones were placed much further away. Therefore, all the measurements were performed with the hydrophone placed in the far-field region of the acoustic source.

A. Influence of r

This study investigated the influence of the distance r between the source (the underwater spark) and the

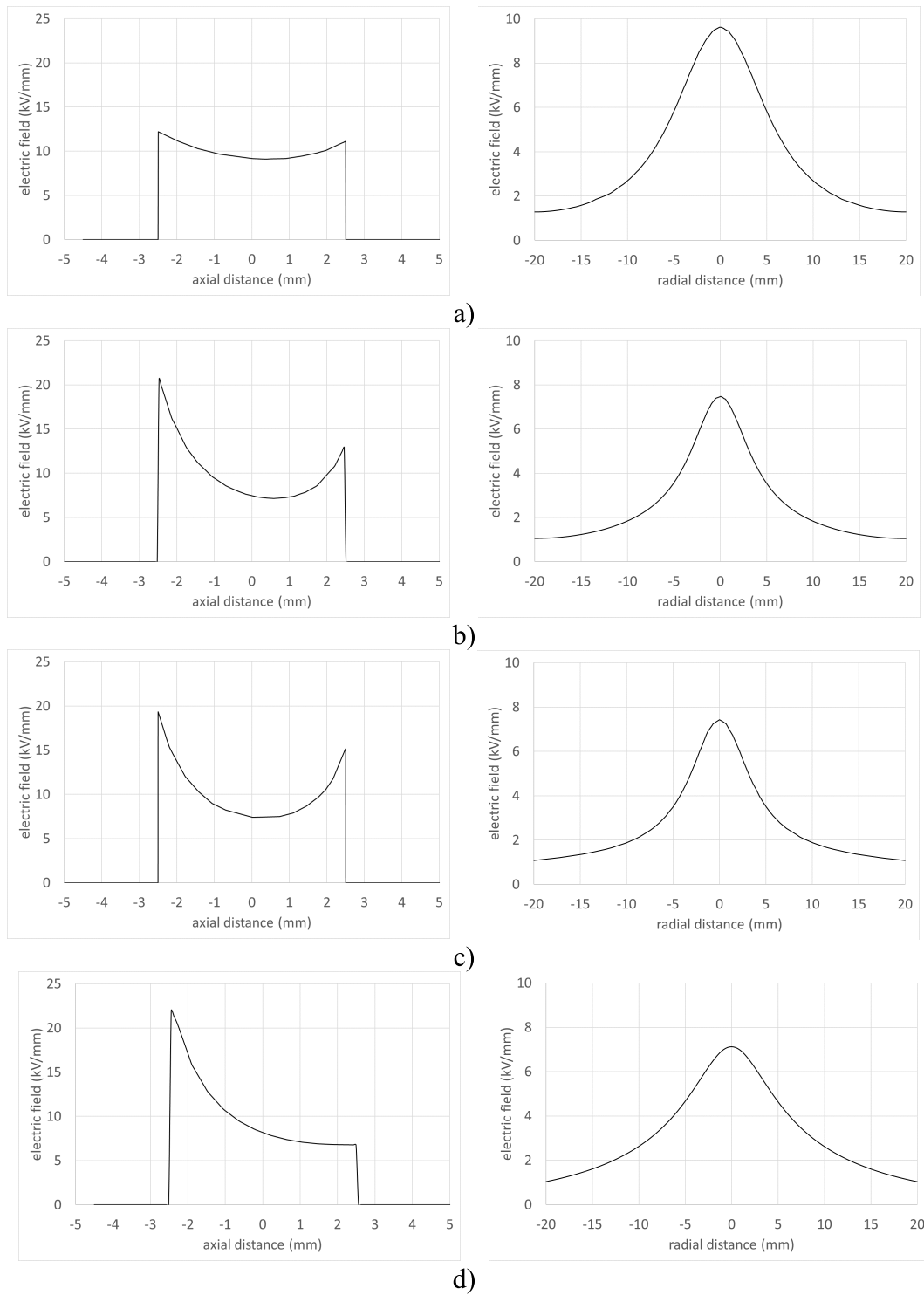


Fig. 7. Axial and radial electric field distributions generated by the pairs of electrodes (a)–(d) as presented in Fig. 6 and with all details provided in Table II. Cylindrical coordinates are used, with the origin on the common axis of the two electrodes, at the inter-electrode gap center. The electrodes are positioned 5 mm apart, with their surface situated at ± 2.5 mm from the origin.

hydrophone. The 113B23 hydrophone was mounted at the following distances from the spark: 280, 820, and 1320 mm. All the tests used the same inter-electrode gap $d = 4.5$ mm.

The three lines numbered 1, 2, and 3 in Fig. 10 were obtained by the least-square fit method, with the following corresponding very high Pearson's correlation coefficients:

0.982, 0.978, and 0.990, respectively. The lines represent the variation in the peak pressure with peak current, with each line corresponding to another distance to source: $r_1 = 280$ mm, $r_2 = 820$ mm, and $r_3 = 1320$ mm, respectively. According to (17), for each line, the tangent of the angle δ between the line and the horizontal peak current axis must

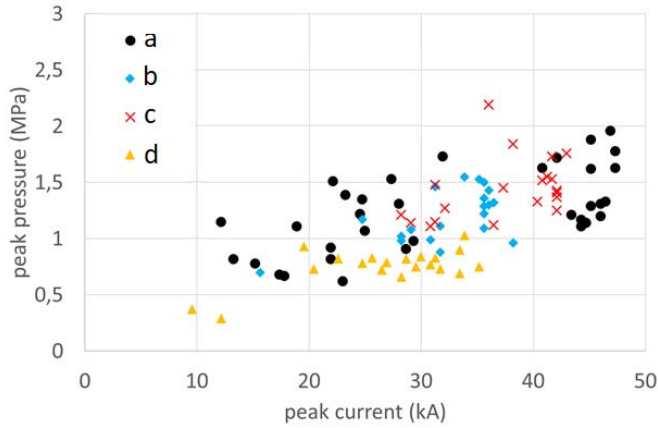


Fig. 8. Peak pressures as a function of peak current. The results were obtained using generator No.2 with the pairs of electrodes presented in Fig. 6 and Table II, mounted at a distance $d = 5$ mm apart.

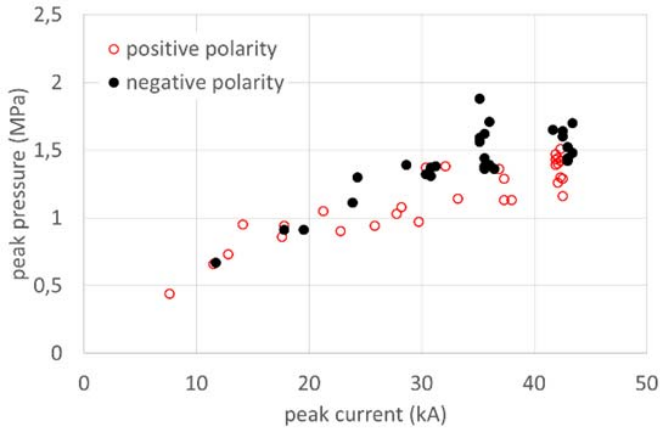


Fig. 9. Study of the influence of HV polarity. Peak pressure data obtained with the pair of electrodes (d) (see Table II), having the HV electrode both positively and negatively charged.

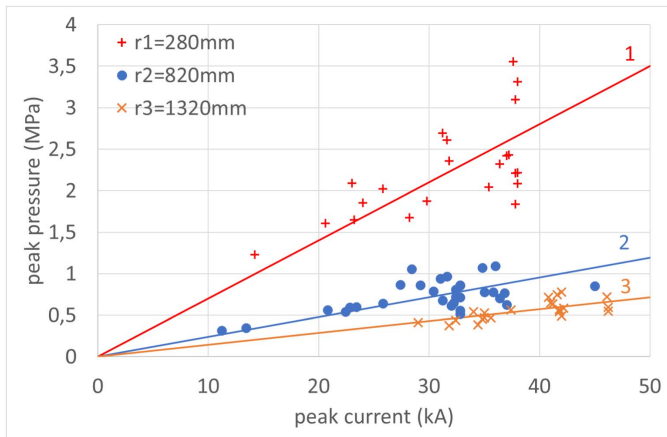


Fig. 10. Variation in the peak pressure for various peak currents flowing through the underwater spark with a constant inter-electrode distance $d = 4.5$ mm. The lines obtained by the least-square fit method (Pearson's correlation coefficients: 0.982, 0.978, and 0.990, respectively) prove that the peak pressure is proportional to the inverse of the distance r between the hydrophone and the spark gap, as predicted by (17).

be proportional to $1/r$. The ratios of these tangents for all the various combinations of lines are therefore compared

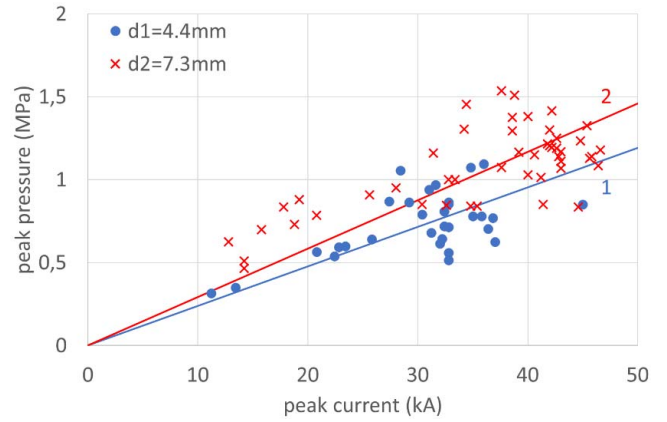


Fig. 11. Variation in the peak pressure for various peak currents flowing through the spark gap corresponding to two inter-gap distances with a constant measurement distance $r = 820$ mm. The lines obtained by the least-square fit method (Pearson's correlation coefficients: 0.982 and 0.978, respectively) prove that the peak pressure is indeed proportional to $(d)^{1/2}$ as predicted by (17).

below with the ratios of the corresponding distances to source:

$$\begin{aligned} \tan(\delta_1)/\tan(\delta_2) &= 2.94 \text{ favorably compares with } r_2/r_1 = 2.93; \\ \tan(\delta_1)/\tan(\delta_3) &= 4.89 \text{ favorably compares with } r_3/r_1 = 4.71; \\ \tan(\delta_2)/\tan(\delta_3) &= 1.66 \text{ favorably compares with } r_3/r_2 = 1.61. \end{aligned}$$

The conclusion is that the peak pressure indeed varies with $1/r$ which, as expected and also as predicted by (17), is a characteristic of a spherical pressure wave in the far-field region.

B. Influence of d

This study investigated the influence of the inter-electrode gap d . The 113B23 hydrophone was used, mounted at a distance 820 mm from the underwater spark, with the inter-electrode gap changed from 4.4 to 7.3 mm. The two lines numbered 1 and 2 in Fig. 11 were obtained by the least-square fit method, with the following corresponding very high Pearson's correlation coefficients: 0.982 and 0.978, respectively. The lines represent the variation in the peak pressure with peak current, with each line corresponding to another distance d between the two electrodes: $d_1 = 4.4$ mm and $d_2 = 7.3$ mm, respectively. According to (17), for each line, the tangent of the angle β between the line and the horizontal peak current axis must be proportional to $(d)^{1/2}$. The ratio of the tangents for the two lines is therefore compared below with the ratio of the corresponding distances between the two electrodes:

$$\tan(\beta_1)/\tan(\beta_2) = 0.81 \text{ favorably compares with } ((d_1/d_2))^{1/2} = 0.78. \text{ The conclusion is that the peak pressure indeed varies with } (d)^{1/2}, \text{ as predicted by (17).}$$

C. Influence of I_{peak}

From the results obtained in the studies presented above, it is clear that the peak pressure depends on the peak current I_{peak} generated during the discharge. To compare on a single graph all results obtained from these studies, in which very different

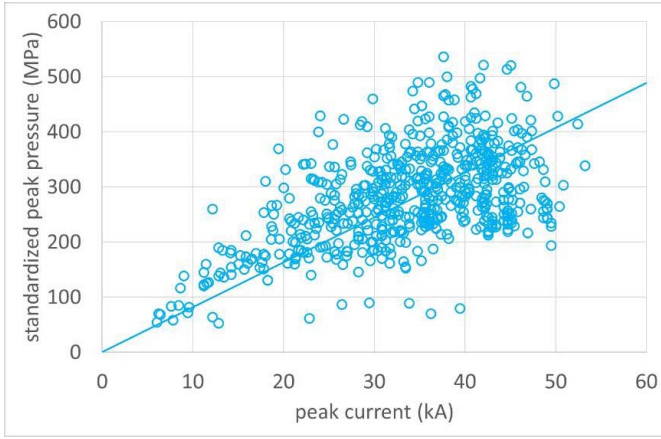


Fig. 12. Standardized peak pressure data as a function of peak current flowing through the underwater spark. The line obtained by the least-square fit method (Pearson's correlation coefficient 0.963) demonstrates the correct prediction by (17): the peak pressure is indeed proportional to the peak current.

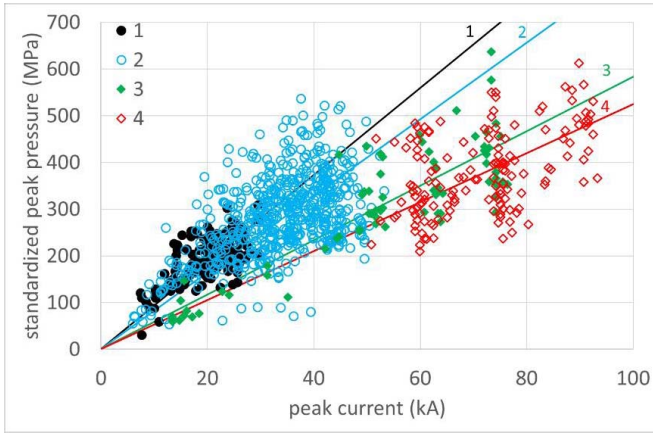


Fig. 13. Standardized peak pressure data as a function of peak current flowing through the underwater spark. For each of generators 1, 2, 3, and 4 detailed in Table I, a straight pressure-current line is obtained by least-square fit (Pearson's correlation coefficients: 0.980, 0.963, 0.980, and 0.979, respectively). As predicted by (17), the influence of the characteristic discharge time T is evident: the faster the discharge (T smaller), the larger the pressure generated.

configurations were used, a set of "standardized" pressure data P_{STDi} will be defined as

$$P_{STDi} = P_{expi} \frac{r_i}{\sqrt{d_i}} \quad (19)$$

where $i = 1, \dots, N$, with $N = 350$, represents the complete set of experimental data; P_{expi} are the experimentally recorded peak pressures, and $280 < r_i < 1320$ mm and $3 < d_i < 8$ mm are the distance from the spark to the hydrophone and the inter-electrode gap, respectively.

Fig. 12 shows the variation in P_{STDi} with the corresponding peak current I_i ($5 < I_i < 55$ kA) flowing through the underwater spark. The least-square fit (Pearson's correlation coefficient 0.963) looks credible, thus proving that as predicted by (17), the peak pressure directly depends on the peak current.

D. Influence of Characteristic Time

By repeating the above study for the other generators presented in Table I, we can observe in Fig. 13 the influence of the characteristic time, i.e., the faster the current injected (the smaller the period T), the higher the peak pressure generated. This finally proves both the second hypothesis and the validity of (17) (Pearson's correlation coefficients 0.980, 0.963, 0.980, and 0.979 for each of generators 1, 2, 3, and 4, respectively).

The power α can be obtained from Fig. 13 by considering for each generator j ($j = 1, \dots, 4$) a straight pressure-current line of the form

$$p_{\text{peak}} = a_j I_{\text{peak}} \quad (20)$$

with a_j being the tangent. For each pair of generators (j, k) , the corresponding power can be calculated as

$$\alpha_{j,k} = \frac{\ln\left(\frac{a_j}{a_k}\right)}{\ln\left(\frac{T_k}{T_j}\right)} \quad (21)$$

with $k = 2, \dots, 4$ ($k \neq j$) and T_j (or T_k) being the period of the generator j (or k) as provided in Table I. The average value of the power was found to be $\alpha = 0.68$.

VI. CONCLUSION

Four different pulsed-power generators based on the capacitor bank technology were used to demonstrate a phenomenological model that highlights the most important parameters to be observed in the design of a high peak pressure source based on underwater subsonic discharge. During the experimental studies, currents ranging from 5 to 100 kA were generated, involving energies from 250 J to 25 kJ. The peak pressures measured during the detailed experimental program requiring 1000 shots agree with the phenomenological model represented by the formula

$$p_{\text{peak}} = k \frac{I_{\text{peak}}}{r} \sqrt{\frac{d}{T^{0.68}}}. \quad (22)$$

Two final important conclusions can therefore be obtained as follows.

- 1) The initial energy stored in the generator is not a main parameter.
- 2) The two main parameters to be observed in the design of a generator are: a large peak current and a fast characteristic discharge time.

The decrease in the discharge time, while maintaining a large current, can be achieved using a smaller value for the capacitance while at the same time increasing the breakdown voltage. If we consider $I_{\text{max}} \sim V_b$ and $d \approx V_b/E_b$, where E_b is the characteristic electric field breakdown of the fluid, the peak pressure depends on the breakdown voltage as $p_{\text{peak}} \sim V_b^{3/2}$. The ideal pulsed-power generator should therefore generate a fast discharge, at a very large current and voltage.

The model suggested seems to be applicable for the range of currents from about 1 kA to a few hundreds of kiloamperes.

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